## **VERIFICATION OF TRANSLATION**

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declare as follows:

- 1. That I am well acquainted with both the English and Japanese languages, and
- 2. That the attached document is a true and correct translation of a certified copy of the following application, which was made by me to the best of my knowledge and belief.
- (a) Japanese Patent Application No. 2000-201456
  Entitled: "HUMANIN, A POLYPEPTIDE SUPPRESSING NEURONAL DEATH"
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(Signature of Translator)

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[Name of Document] APPLICATION FOR PATENT [Identification Number] KUV-102DP1 [Filing Date] June 29, 2000 [Addressee] Esq. Commissioner of the Patent Office [Inventor] [Address] 101 Makita, 15 Wakamiya-cho, Shinjuku-ku, Tokyo [Name] Ikuo Nishimoto [Applicant] [Identification Number] 899000079 [Name or Appellation] KEIO UNIVERSITY [Attorney] [Identification Number] 100102978 [Patent Attorney] [Name or Appellation] Hatsushi Shimizu Nominated Attorney [Identification Number] 100108774 [Patent Attorney] [Name or Appellation] Kazunori Hashimoto [Priority] [Application Number] JP Hei 11-264679 [Filing Date] September 17, 1999 [Payment] [Registration Number] 041092 [Amount] 21000 [List of Attached Documents] [Name of Document] Description 1 Name of Document **Drawings** 1 [Name of Document] Abstract 1

[Proof] Necessary

[Document Name] Specification

[Title of the Invention] HUMANIN, A POLYPEPTIDE SUPPRESSING NEURONAL DEATH

[Claims]

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[Claim 1] A polypeptide that suppresses neuronal death associated with Alzheimer's disease having an amino acid sequence of Formula (I):

Pro-Xn<sub>1</sub>-Cys-(Leu/Arg)-Xn<sub>2</sub>-Leu-Thr-(Gly/Ser)-Xn<sub>3</sub>-Pro (I) wherein "(Leu/Arg)" indicates Leu or Arg; "(Gly/Ser)" indicates Gly or Ser; and Xn<sub>1</sub>, Xn<sub>2</sub>, and Xn<sub>3</sub> independently indicate arbitrary amino acid sequences not more than 10 residues in length, respectively.

[Claim 2] A polypeptide according to (a) or (b) shown below:

- (a) a polypeptide having an amino acid sequence selected from the group of SEQ ID NOs: 5 to 8, 10, 12, 13, 21 to 24, 26 to 29, 32, 33, 37 to 40, and 42;
- (b) a polypeptide that suppresses neuronal death associated with Alzheimer's disease having an amino acid sequence selected from the group consisting of SEQ ID NOs: 5 to 8, 10, 12, 13, 21 to 24, 26 to 29, 32, 33, 37 to 40, and 42, wherein one or more amino acids have been substituted, deleted, inserted, and/or added.

[Claim 3] The polypeptide of claim 1 or 2, which is used to suppress neuronal death.

[Claim 4] A fusion polypeptide comprising the polypeptide of any of claims 1 to 3 fused with other polypeptides.

[Claim 5] A DNA encoding the polypeptide of any one of claims 1 to 4.

[Claim 6] A vector into which the DNA of claim 5 is inserted.

[Claim 7] A host cell retaining the vector of claim 6.

[Claim 8] A method for producing the polypeptide of any one of claims 1 to 4, comprising the steps of culturing the host cell of claim 7, and recovering the expressed polypeptide from the host cell or culture supernatant thereof.

[Claim 9] A method for suppressing neuronal death comprising the step of contacting a neuron with the polypeptide of any one of claims 1 to 4.

[Claim 10] A pharmaceutical composition comprising as the

effective component the polypeptide of any one of claims 1 to 4 or the vector of claim 6.

[Claim 11] The pharmaceutical composition of claim 10, wherein said composition is a neuronal death suppressant.

[Claim 12] The pharmaceutical composition of claim 10, which is used to prevent or treat diseases that are accompanied by neurodegeneration.

[Claim 13] The pharmaceutical composition of claim 10, which is used to prevent or treat Alzheimer's disease.

[Claim 14] An antibody that binds to the polypeptide of any one of claims 1 to 3.

[Claim 15] A DNA for detecting or manipulating DNA encoding the polypeptide of any one of claims 1 to 4, wherein the DNA comprises at least 15 nucleotides that are complementary to a DNA consisting of the nucleotide sequence of SEQ ID NO: 4 or to a complementary strand thereof.

[Claim 16] A method of screening for a chemical compound that binds to the polypeptide of any one of claims 1 to 4, comprising the steps of:

- 20 (a) contacting a test sample with the polypeptide of any one of claims 1 to 4;
  - (b) detecting the binding activity between the test sample and the polypeptide; and
- (c) selecting the compound that has the activity to bind to 25 the polypeptide.

[Detailed Description of the Invention] [0001]

[Technical Field of the Invention]

The present invention relates to polypeptides protecting neurons from cell death associated with Alzheimer's disease.

[0002]

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[Prior Art]

Alzheimer's disease (AD) is currently the most actively studied neurodegenerative disease. AD is clinically characterized by

progressive amnesia and cognitive impairment, and pathologically characterized by a wide range of neuronal loss, intraneuronal tangles, and extracellular senile plaques that have congophilic dense core. Effective treatment for AD still does not exist. It is generally accepted that not all, but many of the clinical manifestations of this disease are explained by progressive neuronal death. Elucidation of pathological mechanism of the onset of neuronal death in AD, to prevent AD is essential for developing novel effective treatments for AD.

10 [0003]

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Four different groups of mutant genes are known to cause early-onset familial AD (FAD): V642I/F/G APP (the number represnts the position in APP<sub>695</sub>, an APP consisting of 695 amino acids); KM595/596NL APP (NL-APP); presenilin (PS)-1 mutants; and PS-2 mutants 15 (Shastry, B.S. and Giblin, F.J. (1999) Brain Res. Bull. 48, 121-127). Yamatsuji et al. suggested that these FAD genes might cause cell death of neurons, based on the observation on nerve cell line F11 wherein three V642 type mutant cDNA of APP was transiently expressed (Yamatsuji, T. et al. (1996) Science 272, 1349-1352). The result was 20 also confirmed by experiments that used primary cultured neurons and other nerve cell lines (Zhao, B. et al. (1997) J. Neurosci. Res. 47, 253-263; Luo, J. J. et al. (1999) J. Neurosci. Res. 55, 629-42). Further, Wolozin et al. revealed that FAD-linked mutant N141I PS-2 significantly enhances cell mortality in PC12 cells, and that 25 FAD-linked mutant PS-1 induces apoptosis of T lymphocytes (Wolozin, B. et al. (1996) Science 274, 1710-1713; Wolozin, B. et al. (1998) Neurobiol. Aging 19, S23-27). Furthermore, regarding PS-1, enhanced sensitivity to neuronal death induced by  $A\beta$  addition and/or trophic factor deficiency due to the expression of PS-1 mutant (Guo, Q. et 30 al. (1996) Neuroreport 8, 379-83; Zhang, Z. et al. (1998) Nature 395, 698-702; Guo, Q. et al. (1999) Proc. Natl. Acad. Sci. U.S.A. 96.,4125-30); and enhanced sensitivity to neuronal death by trophic factor deficiency in cultured cortical neurons, derived from transgenic rats overexpressing wild-type PS-1, compared with those in non-transgenic controls (Czech, C. et al. (1998) Neuroscience 87, 35 325-36); and such have been repeatedly observed. Although it is

controversial whether the mutant PS-1 is a stimulation factor of neuronal death or has no effect on neuronal death (Weihl, C. C. et al. (1999) J. Neurosci. 19, 5360-9; Bursztajn, S. et al. (1998) J. Neurosci. 18, 9790-9), it is highly possible that all of the four types of known FAD genes (V642-type mutant APP, NL-APP, PS-1 mutant, and PS-2 mutant) induce neuronal death or amplify the vulnerability of neurons to other cell death stimuli under certain conditions. Therefore, finding molecules that suppress AD gene-induced cell death observed in neurons is suggested to be the most important key for developing methods to treat AD.

[0004]

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[Problems to Be Solved by the Invention]

An object of the present invention is to provide polypeptides that protect neurons from cell death associated with Alzheimer's disease, and use of the same.

[0005]

[Means to Solve the Problems]

20 The present inventor has previously established a nerve cell (F11/EcR/V642I), which inductively expresses Alzheimer's disease-type mutant V642I amyloid precursor proteins (V642I APP) (see International Publication No. W000/14204). According to the system, V642I APP is expressed in F11 neurons in 25 response to ecdysone treatment. Cell death occurred in almost all of the F11/EcR/V642I cells incubated with ecdysone for 2 to 3 days; whereas cell death occurred in only a few cells in the control incubation. The present inventor used the F11/EcR/V642I cells to search for genes that act as antagonists of V642I APP-induced neuronal death.

[0006]

More specifically, a cDNA library was constructed from the brain of Alzheimer's disease (AD) patient, and was transfected into the F11/EcR/V642I cells mentioned above. Then a death trap screening operation was repeatedly performed to select cells that survived neuronal death induced by V642I APP. As a result, the present inventor

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succeeded in identifying a novel gene that protect cells against neuronal death induced by V642I APP. It was revealed that the clone, dubbed Humanin (HN) cDNA, encoding a novel polypeptide of 24-amino acids, suppresses neuronal death associated with AD. That is, the clone suppressed neuronal death induced by all of the known types of early-onset familial AD genes [V642I APP, KM595/596NL APP, M146L presentlin (PS)-1, and N141I PS-2] and by  $A\beta$ 1-43. In contrast, the clone had no effect on neurotoxicity of polyglutamine repeat Q79, associated with Huntington's disease (HD)/spinocerebellar ataxia (SCA); and mutants of Cu/Zn-dependent superoxide dismutase (SOD1), associated with amyotrophic lateral sclerosis (ALS). HN mRNA was mainly produced in several organs other than the central nervous system. Transfection of HN cDNA into neurons led to transcription and production of expected peptides, which peptides were secreted 15 into the culture medium-up to a level of about 10  $\mu$ M. The culture supernatant was enough active to demonstrate significant protection of cells from neuronal death induced by V642I APP. Synthetic HN polypeptide also showed neuroprotective action with similar dose-response properties against the four types of AD genes, and its suppression was maximal at 1 to 10  $\mu M$ . Polypeptides expressed within neurons from a cDNA encoding an HN derivative, lacking secretion ability, failed to protect neurons from cell death. However, the same polypeptide synthesized and added to the culture medium showed protective action, which results indicate that the HN polypeptide acts from outside of the cell. Cys at position 8 and Ser at position 14 were found to be important according to an experiment detecting activity of polypeptides with modified structure. substitution completely deprived the polypeptide of the rescue activity. On the other hand, S14G substitution remarkably enhanced the rescue activity of the polypeptide. S14G HN polypeptides (HNG) showed complete protective action against all of the four types of FAD genes at low nanomolar concentrations (1 to 10 nM). activity of HN was also observed in primary cultured cortical neurons. Specifically,  $\mu\text{M}$  levels of HN and nM levels of S14G derivatives (HNG) protected cells form cell death and cell damage caused by  $A\boldsymbol{\beta}$ , whereas C8A (HNA) lacked such activity. Furthermore, upon analysis of

detailed structure-function relationship, amino acids from Pro at position 3 to Pro at position 19 were identified to be important for neuroprotective function, and among them, seven residues were identified as essential residues for the activity. Furthermore, the presnt inventor succeeded to further enhance the neuroprotective action by introducing two amino acid mutations to the S14G HN polypeptide. Based on these findings, it is possible to develop polypeptides that have higher activity and which are suited for biological administration. These polypeptides open a new path to develop therapeutic drugs for AD, and at the same time, are expected to contribute greatly to the development of AD therapy aiming at protection of neurons from cell death.

[0007]

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The present invention relates to novel polypeptides that protect cells from neuronal death associated with AD, and use of the same. More specifically, the present invention relates to:

- (1) a polypeptide that suppresses neuronal death associated with Alzheimer's disease having an amino acid sequence of Formula (I):
- Pro-Xn<sub>1</sub>-Cys-(Leu/Arg)-Xn<sub>2</sub>-Leu-Thr-(Gly/Ser)-Xn<sub>3</sub>-Pro (I) wherein "(Leu/Arg)" indicates Leu or Arg; "(Gly/Ser)" indicates Gly or Ser; and Xn<sub>1</sub>, Xn<sub>2</sub>, and Xn<sub>3</sub> independently indicate arbitrary amino acid sequences not more than 10 residues in length, respectively;
  - (2) a polypeptide according to (a) or (b) shown below:
- (a) a polypeptide having an amino acid sequence selected from the group of SEQ ID NOs: 5 to 8, 10, 12, 13, 21 to 24, 26 to 29, 32, 33, 37 to 40, and 42;
  - (b) a polypeptide that suppresses neuronal death associated with Alzheimer's disease having an amino acid sequence selected from the group consisting of SEQ ID NOs: 5 to 8, 10, 12, 13, 21 to 24, 26 to 29, 32, 33, 37 to 40, and 42, wherein one or more amino acids have been substituted, deleted, inserted, and/or added;
  - (3) the polypeptide of (1) or (2), which is used to suppress neuronal death;
- (4) a fusion polypeptide comprising the polypeptide of any of (1) to (3) fused with other polypeptides;

- (5) a DNA encoding the polypeptide of any one of (1) to (4);
- (6) a vector into which the DNA of (5) is inserted;
- (7) a host cell retaining the vector of (6);
- (8) a method for producing the polypeptide of any one of (1) to (4), comprising the steps of culturing the host cell of (7), and recovering the expressed polypeptide from the host cell or culture supernatant thereof;
  - (9) a method for suppressing neuronal death comprising the step of contacting a neuron with the polypeptide of any one of (1) to (4);
  - (10) a pharmaceutical composition comprising as the effective component the polypeptide of any one of (1) to (4) or the vector of (6);
- (11) the pharmaceutical composition of (10), wherein said
  - (12) the pharmaceutical composition of (10), which is used to prevent or treat diseases that are accompanied by neurodegeneration;
  - (13) the pharmaceutical composition of (10), which is used to prevent or treat Alzheimer's disease;
- (14) an antibody that binds to the polypeptide of any one of (1) to (3);
  - (15) a DNA for detecting or manipulating DNA encoding the polypeptide of any one of (1) to (4), wherein the DNA comprises at least 15 nucleotides that are complementary to a DNA consisting of the nucleotide sequence of SEQ ID NO: 4 or to a complementary strand thereof; and
  - (16) a method of screening for a chemical compound that binds to the polypeptide of any one of (1) to (4), comprising the steps of:
- (a) contacting a test sample with the polypeptide of any one of (1) to (4);
  - (b) detecting the binding activity between the test sample and the polypeptide; and
- (c) selecting the compound that has the activity to bind to the polypeptide.

[8000]

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The term "polypeptide" herein refers to a peptide or protein consisting of two or more amino acids or amino acid derivatives bound to each other. Peptide isosteres are included as polypeptides of the present invention. The term "polypeptide" normally includes short stranded polypeptides, such as peptides, oligopeptides, and oligomers. It also includes long stranded polypeptides such as proteins. be naturally modified by post-translational polypeptide may modification and such. They may be also modified by artificial modifications. Modification includes modification of the peptide backbone, amino acid side chain, amino terminus, or carboxyl terminus. The polypeptide may be branched or cyclic. Modification includes acetylation; acylation; ADP ribosylation; amidation; covalent bonding with flavin, nucleotide, nucleotide derivative, lipid, lipid derivative, or phosphatidyl inositol, and such; cross link formation; cyclization; disulfide bond formation; pyroglutamylation; γ-carboxylation; glycosylation; hydroxylation; iodization; methylation; myristoylation; oxidation; phosphorylation; ubiquitination; and so on.

[Mode for Carrying Out the invention]

The present invention provides polypeptides which protect neurons from cell death associated with Alzheimer's disease. The amino acid sequence of Humanin (HN) polypeptide, isolated by the present inventor, is indicated in SEQ ID NO: 5, and the cDNA sequence of the open reading frame encoding the polypeptide is indicated in SEQ ID NO: 4. Humanin antagonizes neuronal death associated with AD, and shows a saturation activity at a concentration of about 10  $\mu M$ . In addition, HNG (S14G) (SEQ ID NO: 8), which is a Humanin with an amino acid substitution, showed 100 to 1000 fold higher antagonizing effect compared to Humanin. Furthermore, AGA-HNG (SEQ ID NO: 42) which is a derivative of HNG demonstrated an activity several folds higher than that of HNG. The polypeptides of the present invention include Humanin, HNG, and AGA-HNG.

[0010]

[0009]

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Further, the present invention demonstrated that addition of a FLAG tag (DYKDDDDK) to the C-terminus of Humanin does not affect

the neuroprotective action thereof (Example 3). Furthermore, even when the four C-terminal amino acids (KRRA) of Humanin were substituted with other amino acids, a neuroprotective action equivalent to that of the original Humanin was present in the substituted polypeptide (Example 6). These facts demonstrate that polypeptides with equivalent or higher neuroprotective action to can be prepared by introducing mutations to the amino acid sequence of Humanin, HNG, or AGA-HNG.

[0011]

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The present inventor performed further detailed analysis using deletion mutants of Humanin, and found that even a polypeptide, consisting of 17 amino acids from position 3 to 19 of Humanin (HN-17, SEQ ID NO: 21), is sufficient to protect neurons (Example 13). Furthermore, half or more of the amino acid residues of HNG can be substituted while retaining the activity according to the verification of the neuronal death suppression activity of polypeptides consisting of the 3rd Pro to the 19th Pro of HNG (HNG-17, SEQ ID NO: 24), wherein each amino acid residue of the polypeptide was substituted with another amino acid. This experiment elucidated that 7 amino acids in HNG-17 are essential for neuronal death suppression: Pro at position 1; Cys at position 6; Leu at position 7; Leu-Thr-Gly at positions 10 to 12; and Pro at position 17. Therefore, polypeptides having different amino acid sequences can be prepared by modification, such as substitution, deletion, and/or insertion, of residues other than those described above and retaining the residues above.

[0012]

What is much more important, is that even the 7 amino acids, mentioned above as essential amino acids for the activity, may be also substituted with other amino acids. For example, the polypeptide retains the neuroprotective activity even when the Gly at position 12 of HNG-17 is Ser (that is HN-17. In addition, a synthetic polypeptide (HNR; SEQ ID NO: 7) wherein the position corresponding to the Leu at position 7 is substituted with Arg, demonstrated a neuroprotective activity similar to that of the synthetic HN (Example 12). According to these facts, preparation of

polypeptides with equivalent or higher neuronal death suppression activity to the polypeptides, which activities were detected in the Examples of the present invention, are expected by mutating amino acids that are non-essential and/or essential for the activity of these polypeptides.

[0013]

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The polypeptide of the present invention includes polypeptides that suppress neuronal death associated with Alzheimer's disease (AD) and having an amino acid sequence consisting of Formula (I):

Pro-Xn<sub>1</sub>-Cys- (Leu/Arg) -Xn<sub>2</sub>-Leu-Thr- (Gly/Ser) -Xn<sub>3</sub>-Pro (I). Wherein, "(Leu/Arg)" indicates Leu or Arg; "(Gly/Ser)" indicates Gly or Ser; and Xn<sub>1</sub>, Xn<sub>2</sub>, and Xn<sub>3</sub> independently indicate arbitrary amino acids not more than 10 residues, respectively. Preferably, Xn<sub>1</sub>, Xn<sub>2</sub>, and Xn<sub>3</sub> are independently arbitrary amino acids of 2 to 6, 0 to 4, and 2 to 6 residues, respectively; more preferably 3 to 5, 1 to 3, and 3 to 5 residues, respectively; and most preferably 4, 2, and 4 residues, respectively. Added amino acids of about 6 residues sometimes forms an  $\alpha$ -helix and behaves like a single amino acid residue. A polypeptide of the present invention may be a polypeptide wherein arbitrary amino acids with no more than 6 residues are added to all or any one of Xn<sub>1</sub>, Xn<sub>2</sub>, and Xn<sub>3</sub> consisting of arbitrary amino acids of 4 residues, 2 residues, and 4 residues, respectively.

Such polypeptide may be prepared according to known peptide synthesis techniques, and also by the expression of a DNA that encodes such polypeptides.

[0014]

Preferably, the sequence of  $Xn_1$  includes, for example, sequences consisting of (Arg/Ala)-(Gly/Ala)-(Phe/Ala)-(Ser/Ala), and sequences with conservative substitution thereof. Herein, for example, "Arg/Ala" indicates Arg or Ala (the same is indicated throughout the description herein). Examples of conservative substitution include those within the following group of amino acids. Examples of such sequences include Arg-Gly-Phe-Ser, Ala-Gly-Phe-Ser, Arg-Ala-Phe-Ser, Arg-Gly-Ala-Ser, Arg-Gly-Phe-Ala, and so on. On the other hand, the sequence of  $Xn_2$  preferably includes, for example, sequences consisting of (Leu/Ala)-(Leu/Ala), and sequences with

conservative substitution thereof. Such sequences include Leu-Leu, Ala-Leu, Leu-Ala, and such. Furthermore, the sequence of Xn3 preferably includes, for example, sequences consisting (Glu/Ala) - (Ile/Ala) - (Asp/Ala) - (Leu/Ala), and sequences with conservative substitution thereof. Such examples Glu-Ile-Asp-Leu, Ala-Ile-Asp-Leu, Glu-Ala-Asp-Leu, Glu-Ile-Ala-Leu, Glu-Ile-Asp-Ala, and so on. The sequences of Xn1,  $\mathrm{Xn}_{2}$ , and  $\mathrm{Xn}_{3}$  may be selected from arbitrary combinations.

[0015]

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Neuronal death associated with AD is induced by the expression of APP, PS-1, or PS-2 mutants (for example, V642I/F/G APP, NL-APP, M146L PS-1, and N141I PS-2) in established neuronal cell lines (for example, F11 cells) and primary neuronal cultures (for example, rat brain cortical primary culture); and also by the addition of  $A\boldsymbol{\beta}$  (for ----15 -example, Aeta1-43) to primary neuronal cultures. Specifically, the polypeptides of the present invention include those that suppress at least any one of these neuronal deaths associated with AD. The suppression of cell death doesn't have to be a complete suppression so long as the suppression is significant. The activity of proteins to suppress neuronal death can be detected according to the method described in the Examples, or by other published methods (see for example, International Publication No. WO00/14204).

[0016]

More specifically, a method as follows can be exemplified: (1) transfect neurons (for example, F11 cells) with vectors expressing FAD genes, such as V642I/F/G APP, NL-APP, M146L PS-1, and N141I PS-2, alone or in combination with a vector expressing a polypeptide to be examined; (2) cultivating the cells for a defined period (for example, 72 hours); and (3) detecting level of cell death by trypan blue exclusion assay. Alternatively, a polypeptide to be examined is prepared in advance, and cell death may be measured upon transfection of FAD genes into cells in the presence or absence of the polypeptide. FAD genes may be also conditionally expressed using an inductive promoter. A polypeptide is determined to suppress neuronal death associated with AD, when the cell death under the existence of the protein is significantly decreased in comparison

to those induced in the absence of the polypeptide to be examined. Additionally, other cells such as primary cultured neurons may be used, and induction of cell death can be also carried out by the addition of  $A\beta$ . Cell death can be measured by detecting morphological changes, LDH release, or apoptosis (morphological changes of the nucleus, fragmentation of DNA, and such) in addition to trypan blue exclusion.

[0017]

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Further, the polypeptides of the present invention include polypeptides having an amino acid sequence selected from the group of SEQ ID NOs: 5 to 8, 10, 12, 13, 21 to 24, 26 to 29, 32, 33, 37 to 40, and 42; and polypeptides that suppress neuronal death associated with Alzheimer's disease (AD), wherein one or more amino acids of the polypeptide selected from the group of SEQ ID NOs: 5 to 8, 10, 12, 13, 21 to 24, 26 to 29, 32, 33, 37 to 40, and 42 have been substituted, deleted, inserted, and/or added.

[0018]

Although there are no particular limitations on the number of amino acid residues to be mutated, it is considered that the number of the residues to be mutated by substitution, deletion, and/or insertion in a amino acid sequence, is generally 15 residues or less, preferably 12 residues or less, more preferably 10 residues or less, and even more preferably 8 residues or less (for example, 5 residues or less). There is no limitation on the number of the amino acids to be added, so long as the activity to suppress neuronal death associated with AD is maintained. Artificially produced amino acid sequences and naturally occurring polypeptide sequences are included in the amino acid sequence wherein the amino acids have been substituted, deleted, inserted, and/or added.

30 [0019]

The original activity of a polypeptide is expected to be retained by artificial substitution of amino acids between amino acids with similar characteristics. The polypeptides of the present invention include polypeptides that suppress neuronal death associated with AD, having an amino acid sequence selected from the group of SEQ ID NOs: 5 to 8, 10, 12, 13, 21 to 24, 26 to 29, 32, 33,

37 to 40, and 42 with conservative substitution to the amino acids of the polypeptides. Conservative substitutions are considered to be important for substituting amino acids essential for suppressing neuronal death (for example, the 7 amino acids essential for HNG-17, mentioned above). Such conservative substitution of amino acids is well known to those skilled in the art. Group of amino acids between which conservative substitution can be exemplified by: (1) basic amino acids (for example, lysine, arginine, and histidine); (2) acidic amino acids (for example, aspartic acid, and glutamic acid); (3) uncharged polar amino acids (for example, glycine, asparagine, glutamine, serine, threonine, tyrosine, and cysteine); (4) non-polar amino acids (for example, alanine, valine, leucine, isoleucine, proline, phenylalanine, methionine, and tryptophan); (5)  $\beta$  branched amino acids (for example, threonine, valine, and isoleucine); (6) aromatic amino acids (for example, tyrosine, phenylalanine, tryptophan, and Alternatively, the activity to suppress histidine), and such. neuronal death, stability, tissue localization, and such of the polypeptide can be enhanced or decreased by non-conservative substitution.

20 [0020]

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The polypeptide of this invention may be produced as a synthetic polypeptide by known peptide synthesis techniques (Japanese Biochemical Society edition, "Shin Seikagaku Jikken Koza Tanpakushitu (New Course on Biochemistry Experiments, Proteins) VI," pp. 3-74, Tokyo Kagakudojin, 1992). The method for peptide synthesis may be either solid-phase synthesis or liquid-phase synthesis. Further, polypeptides with arbitrary amino acid mutations can be prepared through the introduction of mutation to Humanin cDNA (for example, SEQ ID NO: 4) by the production of synthetic DNA or by site directed mutagenesis; and then, expressing the mutated cDNA in a host cell. There are no limitations on the number and position of the amino acids to be modified so long as the obtained polypeptide suppresses neuronal death associated with AD.

[0021]

Although there is no limitation regarding the number of amino acid residues in the polypeptides of this invention, however, for

example, when the polypeptide is used as a pharmaceutical composition, polypeptides of smaller molecular size are generally preferred. Absence of portions (for example, amino acid residues or functional groups) unnecessary for the activity decreases antigenicity, and non-specific interactions with other molecules can be avoided which as a result is expected to reduce unfavorable side effects. The polypeptides of the present invention consist of preferably 500 amino acid residues or less, more preferably 100 residues or less, much more preferably 50 residues or less, and even more preferably 30 residues or less. The average molecular weight of the polypeptides is preferably 60 kDa or less, more preferably 15 kDa or less, more preferably 6 kDa or less, and even more preferably 4 kDa or less.

Furthermore, the present invention relates polypeptides of the above-mentioned polypeptides of the present invention with other polypeptides. A fusion polypeptide is a polypeptide in which at least two polypeptides that are not bound in nature are joined, and can be produced by peptide synthesis, or by expressing nucleic acids wherein the polypeptide encoding regions are ligated in frame. Examples of other polypeptides that is fused to the protein of this invention include arbitrary polypeptides comprising short peptides with few residues, such as tags, and long polypeptides, such as proteins. Specifically, such examples include His tag, HA tag, GFP, maltose binding protein, and glutathione Other examples include leader sequence, S-transferase (GST). secretion signal, and preprotein or proprotein sequences, but the present invention is not limited to these examples. Further, a group of polypeptides, that facilitates the polypeptide of this invention to effectively pass the blood-brain barrier, can be fused to the protein of the present invention.

[0023]

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Furthermore, the polypeptides of this invention include salts thereof. Such salts are derived from acids or bases of the polypeptides. Specifically, such salts can be exemplified by salts formed with inorganic acids (for example, hydrochloride, phosphate, hydrobromide, hydrosulfate, nitrate, etc.); salts formed with organic

acids (for example, acetate, lactate, formate, butyrate, glycolate, propionate, fumarate, maleate, succinate, tartrate, citrate, malate, oxalate, benzoate, methane sulfonate, benzene sulfonate, etc.); and salts formed with bases (for example, ammonium salt, alkali metal salts such as sodium salt and potassium salt, alkaline earth metal salts such as calcium salt and magnesium salt, and salts formed with organic bases, and salts formed with amino acids such as arginine and lysine).

[0024]

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10 Furthermore, the polypeptide of the present invention includes derivatives thereof. Herein, the term "derivatives" refers to molecules that have a form, which has been altered by modification, addition, mutation, substitution, or deletion of functional groups of the polypeptide of this invention according to conventional 15 - methods.—Such alterations of functional groups are carried out, for example, to protect functional groups of the polypeptides, to regulate the stability or histological localization of the polypeptides, or to regulate the activity of the polypeptides, and so on. polypeptides of the present invention are exemplified by those 20 polypeptides wherein any one of the N-terminus, C-terminus, and functional groups of the polypeptides constituting amino acid side chains are modified by substituents, such as protecting groups. substituents include, for example, various alkyl groups, acyl groups, amide groups, phosphate groups, amino groups, carboxyl groups, and 25 ester groups; however, the present invention is not limited to these examples.

Furthermore, the polypeptides of the present invention include polymers, such as dimers wherein the polypeptides are bound to each other; branched molecules; and cyclized molecules. Further, the polypeptides may be bound to a carrier.

[0025]

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Amino acids that constitute the polypeptides of the present invention may be in the L form and/or D form. The use of D amino acids is effective for lowering degradation by peptidases. Additionally, the amino acids are not limited to natural amino acids, and may be also unnatural amino acids. Unnatural amino acids are exemplified

homoserine,  $\beta$ -hydroxyvaline, 0-4-hydroxyphenyl  $\alpha$ -t-butyl glycine, 2-amino butyrate,  $\alpha$ -cyclohexyl glycine,  $\alpha$ -phenyl glycine, and such. Further, the peptide bonds of the polypeptides may be appropriately substituted with covalent bonds other than peptide bonds. The sensitivity to peptidases of the polypeptides can be lowered by the substitution to non-peptide bonds, which enhances drug efficacy duration and which offers a wide selection of administration routes. The non-peptide bonds are exemplified by imino bonds, ester bonds, hydrazine bonds, semicarbazide bonds, and 10 azo bonds, but the present invention is not limited to these examples.

Further, chemical compounds, that mimic the structure of the polypeptides of the present invention, may be designed. For example, based on the physical and chemical properties (which may be analyzed by conventional methods including active site modification, NMR, and 15 X-ray crystallography) relating to the structure of the polypeptides of this invention a map of physical and chemical functions, that are important for neuroprotective action of the polypeptides, constructed. Then, molecules that simulate these functions are designed and synthesized. Alternatively, the polypeptides of the present invention are expected to bind to a receptor due to its high activity, and thus, compounds that bind to the same receptor may be Whether molecules derived in this manner possess a neuroprotective action or not can be assayed according to the method described in the Examples.

25 [0026]

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The present invention also provides DNA encoding a polypeptide of this invention. There is no particular limitation on the origin of the DNA of the present invention, and includes synthetic DNA, genomic DNA, cDNA, and such. The DNA of this invention includes a cDNA that encodes Humanin, described in SEQ ID NO: 4. A DNA having any nucleotide sequence based on the degeneracy of genetic code may be included so long as it encodes the amino acids described in SEQ ID NOs: 5 to 8, 10, 12, 13, 21 to 24, 26 to 29, 32, 33, 37 to 40, or 42. In addition to the coding region, a DNA of the present invention may include non-coding sequences (including non-transcriptional sequence, non-translational sequence, splicing sequence, poly(A)

addition sequence, IRES, mRNA stabilizing/destabilizing sequence, and such) at the 5' and 3' ends.

The DNA of the present invention may be used to produce a polypeptide of this invention by inserting the DNA into a vector. Furthermore, it is also possible to use the DNA for application to gene therapy as described below.

[0027]

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The host to produce a polypeptide of the present invention is not limited in any way, and cells or unities such as *Escherichia coli*, yeast, mammalian cells, plant cells, insect cells, and so on may be used. The host-vector system may be, for example, the baculovirus-Sf cell line (Okamoto et al., J. Biol. Chem. 270: 4205-4208, 1995); the pcDNA-CHO cell line (Takahashi et al., J. Biol. Chem. 270: 19041-19045, 1995); the CMV promoter plasmid-COS cell line (Yamatsuji et al., EMBO J. 15: 498-509, 1996);—and such, but are not limited thereto.

[0028]

The polypeptides of the present invention may be secreted from host cells. As described in the Examples, Humanin, HNG, and such were secreted from cells, wherin the polypeptides were expressed to the extracellular region, and the secreted polypeptides antagonized neuronal death. When secreted to the cell exterior, the polypeptides of the present invention can be conveniently recovered from the culture supernatant of the host cells.

25 [0029]

The polypeptides of the present invention or DNAs that encodes the polypeptides of the present invention can be made into reagents for suppressing neuronal death. A DNA of the present invention can be appropriately inserted into a vector, and the vector can be used as a reagent. In addition to using a polypeptide or DNA itself as the reagent, a reagent that includes a polypeptide of this invention or a DNA that encodes a polypeptide of this invention may be appropriately combined with sterilized water, saline solution, buffer, salts, stabilizers, preservatives, detergents, other proteins (BSA, etc.), transfection reagents (including lipofection reagent), and such. These may be mixed in advance, or may be kept

separately until they are mixed before use. [0030]

Cell death of neurons can be suppressed by contacting a polypeptide of the present invention to the neuron. A polypeptide of this invention is contacted to the outside of a cell. Specifically, a polypeptide of the invention is added to the culture medium in a cell culture system. For use in vivo, a polypeptide of the invention is administered so that the polypeptide will be contacted to the cell, is the target, to suppress cell death. Although the concentration of a polypeptide of the invention depends on the strength of the activity to suppress neuronal death and the purpose of the use thereof, the maximum activity of the polypeptide is reached at a concentration of about 10  $\mu$ M or less if the polypeptide possesses an activity equivalent to that of HN, and at about 10nM or less if 15 the polypeptide possesses an activity equivalent to that of HNG. the polypeptides are secretory polypeptides, the polypeptides will be secreted to the cell exterior, even when it is introduced or expressed intracellularly, and thus, cell death can be suppressed. For example, to suppress cell death with secretory polypeptides, a encoding a polypeptide of the invention is expressed intracellularly. Cell death of neurons can be suppressed in cell culture systems or in vivo by introducing a vector that expresses a polypeptide of the present invention into a cell. Additionally, co-culturing with cells expressing a secretory polypeptide of this invention, or ex vivo administration of the secretory polypeptides enables to suppress cell death of surrounding cells.

[0031]

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The present invention also provides pharmaceutical compositions containing a polypeptide of this invention, or a vector, wherein a DNA encoding a polypeptide of this invention has been inserted, as its active ingredient. A polypeptide of the present invention can protect cells from neurodegeneration by adding the polypeptide extracellularly, or by intracellular expression of a secretory form of the polypeptide. Therefore, a polypeptide of this invention is useful as a pharmaceutical composition particularly active against diseases associated with neurodegeneration.

[0032]

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As described in the Examples, chemically synthesized Humanin (HN) polypeptide suppresses neuronal death at a concentration of about 10 nM or more in the extracellular solution, and a maximum suppression is achieved at a concentration of 1 to 10  $\mu M$ . On the other hand, HNG showed AGA-HNG polypeptides significant or sufficient neuroprotective action at about 1 nM. The neuroprotective action is presented by introducing and expressing a DNA encoding the polypeptides in the cell. Therefore, a vector expressing a polypeptide of this invention as a medicament may be used to perform gene therapy. Secretor types of the polypeptides, or polypeptides, modified with secretion signal attachment, may be expressed for the gene therapy. Administration methods for the vectors include in vivo and ex vivo methods. Vector systems for gene therapy include: adenovirus vector; AAV (adenovirus-associated virus) vector; herpesvirus vector (all refer to Robbins and Ghivizzani, Pharmacol. Ther. 80: 35-47, 1998); retrovirus vector (Engel and Kohn, Front. Biosci. 4: e26-33, 1999); lentivirus vector (Lundstrom, K., 1999, J. Recept. Signal. Transduct. Res. 19: 673-686); and such, but are not limited thereto.

[0033]

The target diseases to be prevented or treated using a polypeptide of the present invention, or using a vector that expresses the polypeptide is not limited in any way, so long as the used polypeptide of the present invention is effective for treating the Examples of preferred target diseases neuron-related diseases, in particular Alzheimer's disease. Previous studies have revealed that cell death of neurons occurs in Alzheimer's disease (I. Nishimoto et al., 1997, Adv. Pharmacol., 41: 337-368). Some sort of activation of APP (I. Nishimoto et al., 1998, Neurobiol. Aging., 19: S33-S38) and presentlin (Nishimura et al., 1999, Clin. Genet. 55: 219-225) are suggested to be associated with the cell death. Therefore, pharmaceutical compositions of this invention are expected to be applicable as medicament for protection against neurodegeneration that occurs in Alzheimer's disease. addition to Alzheimer's disease, for example, diseases caused by cell

death of neurons due to cerebral ischemia (T. Kirino, 1982, Brain Res., 239: 57-69) can be prevented by the use of a pharmaceutical composition of the present invention. Further, Parkinson's disease that accompanies dementia (M.H. Polymeropoulos et al., 1997, Science, 276: 2045-2047); diffuse Lewy bodies disease (M.G. Spillantini et al., 1998, Proc.Natl.Acad.Sci. USA, 95: 6469-6473); dementia that accompanies Down's disease; and such are also targets of the treatment and prevention using a protein of the invention. Furthermore, since APLP1, which is an APP analogue, is said to be the causative gene for congenital nephrotic syndrome (Lenkkeri, U. et al., 1998, Hum. Genet. 102: 192-196), renal diseases, such as nephrotic syndrome, is also the target for the treatment and prevention.

[0034]

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In addition to the direct administration of the active 15 ingredient to a patient, a pharmaceutical composition of this formulated invention may be following conventional drug implementations. For example, the composition may be administered after appropriately formulating it with pharmacologically acceptable carriers or medium, specifically, sterilized water or saline, 20 vegetable oils, emulsifiers, suspending agents, detergents, stabilizers, sustained-release preparations, and such. pharmaceutical composition of this invention may be in the form of an aqueous solution, tablet, capsule, troche, buccal tablet, elixir, suspension, syrup, nasal drop, inhalant solution, and such. 25 content of the polypeptide in these preparations makes a suitable dosage acquirable.

[0035]

Administration to patients may be carried out depending on the properties of the used active ingredient. Example of suitable administration methods include percutaneous, intranasal, transbronchial, intramuscular, intraperitoneal, intravenous, intraspinal, intracerebroventricular, or oral administrations, but are not limited thereto. When using the pharmaceutical composition in the treatment of cerebral neurodegenerative diseases, it is preferable to introduce the pharmaceutical composition to the central nervous system by an appropriate arbitrary route including a

intravenous, intraspinal, intracerebroventricular, or intradural injection. The dosage varies according to the age, body weight, condition of a patient, method of administration, and such, but one skilled in the art can suitably select them. The dosage and administration method varies depending on the histological localization of the active ingredient of the pharmaceutical composition of the present invention, therapeutic purpose, body weight, age, and condition of a patient, and such, but can be selected suitably by those skilled in the art.

10 [0036]

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For example, to protect cerebral neurons against degeneration in Alzheimer's disease treatment, it is preferable to administer a polypeptide of the present invention so that the concentration around cells target is sufficient to effectively neurodegeneration. Specifically, Humanin-polypeptide or compounds, that have equivalent protective action against neuronal death with Humanin, should be administered at a concentration of at least 1 nM or more, preferably 10 nM or more, more preferably 100 nM or more, and much more preferably 1  $\mu M$  or more. HNG or comounds, that have equivalent protective action against neuronal death with HNG, should be administered at a concentration of at least 1 pM or more, preferably 10 pM or more, more preferably 100 pM or more, and much more preferably 1 nM or more. On the other hand, a comparable effect with HNG can be expected by the use of AGA-HNG, at a concentration of a tenth of the HNG concentration. The dosage to achieve these concentrations can be appropriately determined taking the administration route into consideration.

[0037]

The present invention also provides antibodies binding to a polypeptide of the invention. The antibodies of this invention include polyclonal antibodies and monoclonal antibodies. Polyclonal antibodies can be prepared, for example, as follows: a polypeptide of the invention, such as HN and HNG, or partial peptides thereof are prepared; rabbit, goat, sheep, and such are sensitized with these peptides as the antigen. Antigenic peptides can be bound to other proteins according to needs. For example, they can be bound with

carrier proteins, such as key-hole limpet hemocyanin and albumin for immunization. Monoclonal antibodies can be prepared using splenocytes of immunized mice and rats to obtain hybridomas that produce monoclonal antibodies. Production of antibodies can be carried out according to conventional methods (Ed. Harlow and David Lane, Antibodies: A Laboratory Manual, Cold Spring Harbor Laboratory, 1988).

Using conventional biochemical techniques, such as ammonium sulfate fractionation, protein G sepharose column, and affinity column with immobilized antigens, polyclonal antibodies can be purified from serum, and monoclonal antibodies can be purified from hybridoma culture supernatant or from ascites of animals inoculated with hybridoma.

Additionally to the use of the antibodies prepared in this manner to adsorb the polypeptide of this invention, the antibodies may be utilized, for example, to test and diagnose structural alterations of a polypeptide of this invention, and to detect the expression level of a polypeptide of this invention.

[0038]

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Decrease in blood or interstitial concentration of Humanin or Humanin-like peptide, including concentration in the nerve tissue, may be used to diagnose or prognosticate degenerative diseases of the nerves, including AD, and degenerative diseases of other organs. For example, it is possible that the progress of the disease of AD patients with low HN activity in blood is faster with bad prognosis compared to patients of the same kind of AD with high HN activity. Conceivable methods for testing are exemplified by measuring the concentrations of a pepetide of the invention in the blood or tissue samples by RIA using anti-Humanin antibody, or by testing biopsy samples by immunohistological staining. Furthermore, for example, the polypeptide level can be monitored during the treatment which includes administration of a polypeptide of this invention.

[0039]

The antibodies of the present invention may be antibody fragments thereof, so long as it binds to a protein of the invention. For example, the antibody fragment may be Fab,  $F(ab')_2$ , Fv, or modified

antibodies thereof. Additionally, humanized antibodies or human antibodies, and such are also included in the antibodies of the present invention.

[0040]

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The present invention also provides a DNA comprising at least 15 nucleotides, which is complementary to a DNA (SEQ ID NO: 4) encoding Humanin or complementary strand thereof, and are used to detect or manipulate a gene encoding a polypeptide of this invention. "detection or manipulation of a gene" includes detection and regulation of the expression of a gene. Herein, "complementary strand" is defined as one strand of a double strand DNA composed of A:T and G:C base pair to the other strand. Also, "complementary" is defined as not only those completely matching within a continuous region of at least 15 nucleotides, but also those having a homology of 70% or more, preferably 50% or more, more preferably 80% or more, even more preferably 90% or more (for example, 95% ore more) within that region. The homology may be determined, for example, according to a method described in the literature (Altschul, S. F. et al., 1990, J. Mol. Biol. 215: 403-410).

[0041]

Such DNA includes, probes and primer for detecting or amplifying DNAs or RNAs encoding a peptide of the invention; as well as nucleotide and nucleotide derivatives (for example, antisense oligonucleotides, DNAs encoding ribozymse, and such) for suppressing the expression of a polypeptide of this invention. When used as a primer, such a DNA is complementary at the 3'-end, and restriction enzyme recognition sequences or tags can be added to the 5'-end.

[0042]

Further, the present invention provides a method of screening for compounds that bind to a polypeptide of the invention. Such screening can be performed by a method comprising the steps of: (a) contacting a polypeptide of the present invention with a test sample; (b) detecting the binding activity between the polypeptide of the invention and the test sample; and (c) selecting the sample that bind to the polypeptide of this invention.

[0043]

Depending on the screening method, a polypeptide of the invention may be used in the screening as a soluble polypeptide, or in a form bound to a support. A polypeptide of the invention may be Examples of labeling include labeling by radioactive labeled. isotopes, fluorescent substances, and biotin or digoxigenin; tag sequence addition; and such.

[0044]

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Test samples for the screening may be, for example, purified proteins (including antibodies); expression products of libraries; synthetic peptide libraries; cell extracts; cell culture supernatants; libraries of low-molecular weight synthetic compounds; natural materials, such as soil; solutions containing substances released from bacteria, such as Actinomyces broth; and so on, but are not limited thereto. Test samples to be used in the screening may be appropriately labeled according to needs. The labels include, for example, radioactive labels, fluorescent labels, and such, but are not limited thereto.

[0045]

For example, screening for proteins, that bind to a polypeptide of the present invention, can be carried out by applying cell extract of tissues or cells, expected to express proteins that bind to a polypeptide of this invention, to an affinity column to which a polypeptide of this invention is immobilized; and purifying the proteins that specifically bind to the column.

25 [0046]

Alternatively, a cDNA library is prepared from tissues or cells, expected to express proteins that bind to a polypeptide of the invention (for example, brain cortical tissue; and neurons, such as F11) using phage vectors; then plaques are formed on agarose; and screening by Western blotting is carried out using labeled polypeptides of this invention. The screening can be also conducted by a "two hybrid system", and so on. Specifically, a method utilizing a "two hybrid system" is conducted as follows: (1) a DNA-binding peptide, such as GAL4 DNA-binding region, and a transcription 35 activating peptide, such as GAL4 transcription activation region, is expressed as a fusion protein with a polypeptide of the present

invention and a test protein, respectively; and (2) the binding of the protein of the present invention and the test protein is detected as the expression of a reporter gene attached downstream of a promoter, having a binding sequence of the DNA binding peptide.

[0047]

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Furthermore, receptors of a polypeptide of the present invention can be cloned by the screening method of this invention. In case of screening receptors, it is preferable to prepare the test samples from tissues or cells, expected to express receptors (for example, brain cortical tissue, nerve cell line, neuroblastoma cells, and teratocarcinoma cells). Examples of nerve cell lines include F11 cells; PC12 cells (L. A. Greene and A. S. Tischler, 1976, Proc. Natl. Acad. Sci. USA, 73: 2424-2428); NTERA2 cells (J. Skowronski and M. F. Singer, 1985, Proc. Natl. Acad. Sci. USA, 82: 6050-6054); SH-SY5Y cells (L. Odelstad et al., 1981, Brain Res., 224: 69-82); and so on. [0048]

Alternatively, molecules binding to a polypeptide of the present invention can be screened by contacting synthetic compounds; natural product bank; and random phage peptide display libraries to an immobilized polypeptide of the invention. Further, screening by detecting the binding utilizing surface plasmon resonance imaging (for example, manufactured by BIAcore) is possible. These screening methods may be performed by high-throughput screening utilizing combinatorial chemistry techniques.

Compounds, that bind to a polypeptide of the present invention, obtained according to the screening method of this invention, serve as candidate compounds, regulating the activity of a polypeptide of the invention. Thus, these compounds are applicable to prevent or treat Alzheimer's disease.

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[0049]

[Examples]

The present invention will be described in detail using Examples below, but the invention is not to be construed as being limited to these Examples. The experimental procedures described in these Examples are as follows:

V642I APP cDNA has been described previously (Yamatsuji, T. et al. (1996) Science 272, 1349-1352). The M146L mutant of PS-1 cDNA and the N141I mutant of PS-2 cDNA were gifts from Dr. Peter St. George-Hyslop (Sherrington, R. et al. (1995) Nature 375, 754-760) and Dr. Luciano D'Admio (Wolozin, B. et al. (1996) Science 274, 1710-1713), respectively. All of the FAD genes used in the Examples were encoded in pcDNA vectors (Funk, C.D. et al. (1990) Proc. Natl. Acad. Sci. USA, 87: 5638-5642). The ALS-associated mutant of SOD1 cDNA (A4T, G85R, G93A) (Takahashi, H. et al. (1994) Acta Neuropathol. 88, 185-8), and pDN-E/G5H-Q79 were gifts from Dr. Shoji Tsuji, (Niigata University School of Medicine, Niigata, Japan), and Dr. Akira Kakizuka (Osaka Biomedical Research Center, Osaka, Japan), respectively.

[0050]

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- The pHN plasmids encoding Humanin were constructed by inserting HN cDNAs into the polycloning site of pFLAG-CMV-5a vectors (pFLAG) (Eastman Kodak). More specifically, pFLAG-CMV-5a plasmids were digested with *Eco*RI and *Kpn*I, and the HN-encoding sense oligonucleotide
- 20 (5'-AATTCACCATGGCTCCACGAGGGTTCAGCTGTCTCTTACTTTTAACCAGTGAAATTGACC TGCCCGTGAAGAGGCGGCAGGTAC-3'/ SEQ ID NO: 1) and antisense oligonucleotide
- (5'-CTGCCCGCCTCTTCACGGGCAGGTCAATTTCACTGGTTAAAAGTAAGAGACAGCTGAACC CTCGTGGAGCCATGGTG-3'/ SEQ ID NO: 2) were ligated. The plasmid expresses Humanin polypeptide fused with FLAG tag (DYKDDDDK) to the 25 C-terminus. The pFLAG plasmids (pHNG and pHNA), encoding mutant HN, were constructed from pHN using Quick Change Site-directed Mutagenesis Kit (Stratagene). The sequence was confirmed by direct sequencing. To construct the HN-EGFP plasmid, first HN-encoding sense and antisense oligonucleotides were phosphorylated; and after 30 annealing at 95°C for 3 minutes, the oligonucleotide was subcloned into the EcoRI-KpnI site of pEGFP-N3 vector (Clontech laboratories) T4 DNA ligase. Synthetic HN polypeptides structurally modified synthetic polypeptides purified to 95% or 35 higher purity were used. Synthetic HN (sHN) and several other synthetic HN-derived polypeptides were obtained from two independent

sources, to obtain essentially the same results. Anti-FLAG antibody was purchased from Eastman Kodak (M2 monoclonal antibody, Cat. #IB13010). A $\beta1-43$  was purchased from BACHEM (Cat. #H-1586). Other reagents were all commercially available.

[0051]

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The expression cDNA library encoded in pEF-BOS was constructed from poly(A)  $^{+}$ RNA extracted from brain sample (occipital cortex) of a patient with sporadic AD, confirmed by biopsy according to institute guidelines. The poly(A)  $^{+}$ RNA was reversely transcribed using a modified oligo-dT primer containing a NotI site. Double-stranded cDNA was ligated with EcoRI-BstXI adapter primers (5'-pGAA TTC ACC ACA-3' and 3'-CTT AAG GTGp-5'), and was cleaved with NotI. After removing low molecular weight DNAs, the cDNA was ligated with BstXI-NotI fragment of pEF-BOS, and was transformed into XL1 Blue MRF' strain-by electroporation. The primary library size and the mean insert size were 3.2 x10 $^6$  cfu/16 ml and 0.9 kb, respectively.

[0052]

F11 cells (Platika, D. et al. (1985) Proc. Natl. Acad. Sci. U.S.A. 82, 3499-3503; Yamatsuji, T. et al. (1996) Science 272, 1349-1352) were cultured in HamF-12 media containing 18% fetal bovine serum (FBS) and antibiotics.  $7x10^4$ /well of F11 cells were seeded into a 6-well plate; cultured in HamF-12 containing 18% FBS for 12 to 16 hours; transfected 3 hours with plasmids encoding FAD genes and plasmids encoding HN (pHN, and such) by lipofection in the absence of serum (1  $\mu$ g of FAD cDNA expression plasmid, 1  $\mu$ g of HN cDNA expression plasmid, 4  $\mu$ l of LipofectAMINE, 8  $\mu$ l of Plus reagent); and then, were cultured for 2 hours in HamF-12 media containing 18% FBS. culture media were exchanged with HamF-12 media containing 10% FBS; and the cells were cultured for additional 67 hours. 72 hours after transfection, cell death was measured by trypan blue exclusion assay. Experiments using synthetic HN polypeptides were conducted as follows: F11 cells (at  $7x10^4$ /well in a 6-well plate) were transfected 3 hours with FAD genes in the absence of serum, as mentioned above; after cultivation for 2 hours in HamF-12 media containing 18% FBS, the cells were cultured for 67 hours in HamF-12 media containing 10% FBS with various concentrations of HN polypeptides; and cell death

was measured by trypan blue exclusion assay. ALS-associated mutant cDNAs of SOD1 were also similarly transfected, and examined for their neurotoxicity.

[0053]

5 To obtain the culture supernatant of F11 cells transfected with pHN (CM/F11-pHN), F11 cells were transfected with pHN in the absence of serum by lipofection for 3 hours (1 µg of pHN, 2 µl of LipofectAMINE, 4 μl of Plus reagent); and were cultured for 2 hours in HamF-12 media containing 18% FBS. Thereafter, the media was exchanged with HamF-12 10 media containing 10% FBS; and the cells were additionally cultured for 67 hours. CM/F11-pHN was obtained by freeze-thawing the culture media CM/F11-vec was. similarly once. prepared pFLAG-transfected F11 cells. For immunoblot analysis of CM/F11-pHN, CM/F11-pHNA, CM/F11-pHNG, and protease inhibitor 15 (Boehringer Mannheim, Cat. #1697498; one tablet was dissolved in 2 ml of distilled water, and a volume 1/25 to that of the sample was added to the sample) was added to the culture media that had not been freeze-thawed. For immunoblot analysis using cell lysates, the cells were washed twice with PBS, and were suspended in 30  $\mu$ l of homogenizing 20 buffer [10 mM tris/HCl (pH7.5), 1 mM EDTA, 1% Triton X-100, and 1 of protease inhibitor cocktail]. freeze-thawing cycles, the cell homogenate was centrifuged at 15,000 rpm for 10 minutes at 4°C, and the supernatant was submitted to Tris/Tricine immunoblot analysis with gel electrophoresis. 25 Tris/Tricine gel electrophoresis was performed according to the litearture (Schagger, H. and von Jagow, G. (1987) Analytical Biochemistry 166, 168-179).

[0054]

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F11/EcR/V642I cells were established using ecdysone-inducible V642I APP expression plasmid. First, the co-expression vector pVgRXR was transfected into F11 cells (Invitrogen) and cells were subjected to Zeocin selection to establish F11 cells (F11/EcR cells) that stably overexpress both ecdysone receptor EcR and the retinoid X receptor RXR. V642I APP cDNA was inserted into pIND vector (Invitrogen), having multiple copies of ecdysone responsive sequences; and after transfection of the vector into F11/EcR cells, G418 selection was

performed. F11/EcR/V642I cells were cloned by limiting dilution. F11/EcR/V642I cells were cultured in HamF-12 media containing 18% FBS and antibiotics. Before ecdysone treatment, the cells were cultured for 24 hours in the presence of 10% FBS. Then, ecdysone (40 5 μM Ponasteron; Invitrogen Cat. #H101-01) was added to the cell culture media in the presence of 10% FBS. Cell death occurred to each F11/EcR/V642I cell, in response to ecdysone treatment; and the cell mortality 72 hours after treatment in all cells was 60 to 70%, which reached 80 to 90% after 96 hours from the treatment. A more detailed analysis of F11/EcR/V642I cells is described elsewhere International Application No. WO00/14204).

[0055]

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F11/EcR cell experiment using ecdysone was conducted as follows: F11/EcR cells were seeded at 7x104/well into a 6-well plate; 15 -- cultured for 12 to 16 hours in HamF-12 media containing 18% FBS; and were similarly transfected in the absence of serum for 3 hours with 1 µg of ecdysone-inducible plasmid alone, or with 1 µg of HN-encoding plasmid, as mentioned above. After culturing for 12 to 16 hours in HamF-12 media containing 18% FBS, the cells were cultured for 2 hours 20 in HamF-12 media containing 10% FBS, then ecdysone (Ponasterone) was added to the media (final concentration of 40  $\mu M$ ). Cell death was measured 72 hours after ecdysone treatment. Experiments using synthetic HN polypeptides were conducted as follows: cells were similarly transfected for 3 hours with FAD genes in the absence of 25 serum; cultured for 12 to 16 hours in HamF-12 media containing 18% FBS; cultured for 2 hours in HamF-12 media containing 10% FBS and various concentrations of HN polypeptide; and then, 40 µM Ponasterone was added to the media. Cell death was measured by trypan blue exclusion assay after 72 hours from the ecdysone treatment. 30 HD/SCA-associated Q79 cDNAs were also similarly transfected, and the neurotoxicity was tested.

[0056]

Primary culture of mouse cortical neurons was performed in poly-D-lysine-coated 24-well plates (Sumitomo Bakelite) in the 35 absence of serum and in the presence of N2 supplement, as described in literature (Eksioglu, Y. Z. et al. (1994) Brain Res. 644, 282-90).

The purity of neurons prepared according to the method was >98%. The prepared neurons  $(1.25 \times 10^5/\text{well}$ , 250  $\mu\text{l}$  media/well) were preincubated in the absence or presence of 10 nM or 10  $\mu\text{M}$  sHN polypeptides for 16 hours; and were treated with 25  $\mu\text{M}$  A $\beta$ 1-43 in the absence or presence of sHN polypeptides at the same concentrations for 24 to 72 hours. Since primary cultured neurons are damaged even by temporary dryness during medium exchange, treatment of the cells by A $\beta$ 1-43 was performed as follows. First, half of the volume of the old medium (125  $\mu$ 1) was discarded. Then, 125  $\mu$ 1 of pre-warmed fresh medium containing 50  $\mu$ M A $\beta$ 1-43 and sHN with a concentration indicated above were added to the culture.

[0057]

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Trypan blue exclusion assay was performed as follows. Without prewashing, the cells were suspended with gentle pipetting into a serum-free media. 50  $\mu$ l of 0.4% trypan blue solution (Sigma, Cat. 15 #T-8154) were added (final concentration of 0.08%) to 200  $\mu l$  cell suspension, and the suspension was mixed at room temperature. Within 3 minutes of the trypan blue solution addition, stained cells were counted. Cell mortality was determined [100-cell survival rate (%)] 20 based on the stained cell count. LDH assay was performed using a kit (LDH-Cytotoxic Test; Wako Pure Chemical Industries, Cat. #299-50601) by sampling 6  $\mu$ l of media in which neurons were cultured. Calcein staining was performed as described in literature (Bozyczko-Coyne, D. et al. (1993) Journal of Neuroscience Methods 50, 25 Specifically, μМ Calcein-AM {3',6'-Di-(O-acetyl)-2',7'-bis[N,N-bis(carboxymethyl)aminomethyl ]fluorescein, tetraacetoxymethyl ester; Dojindo, Cat. #349-07201} was added to the neurons; and 30 minutes or longer after Calcein-AM treatment, fluorescence (ex = 490 nm, em = 515 nm) was observed by fluorescence microscopy, and measured by a spectrometer. Specific 30 fluorescence was calculated by subtracting basal fluorescence from total fluorescence. Basal fluorescence was assigned to be the value calculated from linear trypan blue positivity-fluorescence intensity relationship, corresponding to 100% trypan blue positivity. ·35

The assay was performed at least three times by repeating independent transfection or treatment. Student's t test was

performed as the statistical analysis. [0058]

Radiolabeling of oligonucleotides for Northern blot analysis was performed using Renaissance 3' end labeling system (NEN) with terminal deoxynucleotidyl transferase (TdT). More specifically, 75 pmol of the probe oligonucleotide, 100 pmol of 3'-[32P]-dATP (185TBq/mmol, NEN), and 36 units of TdT were incubated at 37°C for 30 minutes; and then, the labeled oligonucleotides were separated by gel filtration.  $1x10^6$  to  $5x10^6$  cpm/ $\mu$ l labeled probes were obatained according to the procedure. 5'-CTG CCC GCC TCT TCA CGG GCA GGT CAA TTT CAC TGG TTA AAA GTA AGA GAC AGC TGA ACC CTC GTG GAG CCA TGT GGT G-3' (SEQ ID NO: 3) was used as the antisense HN. The cDNA fragment was radiolabeled using Ready-To-Go random labeling system (Amersham Pharmacia). Specifically, after incubation of 50 to 500 ng of denatured DNA fragments and 1.85 MBq  $[\alpha - ^{32}P]$  dCTP for 30 minutes at 37°C; the labeled DNA fragments were separated by gel filtration. About  $5x10^7$  cpm/ $\mu$ g DNA of labeled probes were obtained by the procedure. Northern blot analysis was performed using ExpressHyb (Clontech). More specifically, after prehybridization, membranes blotted with tissue polyA+-RNA (human tissue membranes obtained from Clontech; mouse tissue membranes obtained from Origene) were soaked with radiolabeled probes (2 to  $5 \times 10^7$  cpm) for 18 hours. After washing the membrane by two steps according to the directions, the membranes were exposed to X-ray films at -70°C using two intensifying screens.

[0059]

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## [Example 1] Identification of Humanin

The F11 cell, established by fusing E17.5 rat primary cultured neurons and mouse neuroblastoma NTG18, is an immortalized cell model of primary cultured neurons (Platika, D. et al. (1985) Proc. Natl. Acad. Sci. U.S.A. 82, 3499-3503). Without a differentiation stimulus, the cell maintains typical characteristics of primary cultured neurons, such as the production of an activation potential (Platika, D. et al. (1985) Proc. Natl. Acad. Sci. U.S.A. 82, 3499-3503). The present inventor discovered that upon transfection of F11 cells with cDNA encoding V642I/F/G APP, i.e. three kinds of FAD causative genes,

transient expression of V642 mutant APP causes cell death (Yamatsuji, T. et al. (1996) Science 272, 1349-1352). Accordingly, the present inventor used the recently developed ecdysone-inducible system (No, D. et al. (1996) Proc. Natl. Acad. Sci. U.S.A. 93, 3346-51) to construct F11 clones wherein the V642I APP is inducible. F11 cells wherein the expression of V642I APP can be induced were established as follows: first, F11 clones (F11/EcR) that overexpress both ecdysone receptor and RXR were established; and then, the cells were stably transfected with pIND-V642I APP, which encodes V642I APP cDNA that is expressed by an HSV promoter placed under the control of ecdysone responsive sequences. In their original form, F11/EcR/V642I clone cells established as above hardly expresses V642I APP. However, conditional overexpression of V642I APP from the cells due to ecdysone treatment was confirmed. Furthermore, in response to ecdysone treatment, cell-death was induced in all of the F11/EcR/V642I cells; and cell mortality in all F11/EcR/V642I cells reached 60 to 70% after 72 hours from the treatment, and 80 to 90% after 96 hours from the treatment.

[0060]

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20 Using these cells, and basically following the method developed by D'Adamio et al. (D'Adamio, L. et al. (1997) Semin. Immunol. 9, 17-23), "death trap screening" was performed using a modified version of the method of D'Adamio et al. In the originally described "death trap screening", Vito et al. transfected Jurkat cells with normal 25 T-cell cDNA library; induced cell death by stimulating T-cell receptors; and collected genes that antagonize cell death. present inventor performed death trap screening in order to screen genes that antagonize cell death induced by AD genes. F11/EcR/V642I cells were transfected with mammalian expression cDNA 30 library [cDNA was prepared from brain samples (occipital cortex) of Alzheimer patients; and the library was constructed using mammalian cell expression vector pEF-BOS, having the elongation factor promoter] (Mizushima and Nagata, 1990, Nucleic Acids Res. 18: 5322); the cells were treated with ecdysone for 72 hours; and plasmids were 35 collected from surviving cells. The procedure was repeated 3 times, and ultimately, plasmids of about 250 clones were obtained.

clones were categorized into 36 groups that cross hybridize to each other by dot blot hybridization using respective plasmids. largest group comprised 28 clones.

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Focusing on this group of cDNAs, the present inventor sequenced all the clones. As a result, clones belonging to this group generally consisted of a cDNA having a fused sequence of 1535 bp; specifically, 5' sequence homologous to the non-coding region of Wnt-13, a 3' sequence homologous to the mitochondrial 16S ribosomal RNA, and a poly(A) region at the C-terminus. The entire sequence was novel (Fig. 1). After sequencing each clone, whether the transient transfection of respective clones significantly suppresses cell death induced by ecdysone in F11/EcR cells co-transfected with pIND-V642I APP was assayed. As a result of comparing the sequences which demonstrated 15 a cell death suppression activity, an antagonizing activity against cell death induced by V642I APP was found to be encoded by a 75 bp reading frame (ORF) open (5'-ATGGCTCCACGAGGGTTCAGCTGTCTCTTACTTTTAACCAGTGAAATTGACCTGCCCGTG AAGAGGCGGCATGA-3' /SEQ ID NO: 4) encoding a novel 24-amino acid polypeptide "MAPRGFSCLLLLTSEIDLPVKRRA" (SEQ ID NO: 5). The present inventor dubbed the molecule Humanin (HN).

[0062]

## [Example 2] Suppressive effect of respective clones on cell death induced by FAD genes

Fig.s 2 to 4 demonstrate the effects of co-transfection of respective clones belonging to this group. When F11/EcR cells (F11 clone stably expressing EcR and RXR, wherein the expression of genes encoded by pIND plasmid are induced by ecdysone) were transiently transfected with pIND encoding V642I APP in the absence of ecdysone (non-V642I APP inducing conditions), 72 hours later, cell death occurred in about 20% of the cells, whereas cell death occurred in a significantly high proportion (50 to 60%) of cells in the presence of ecdysone (V642I APP inducing conditions) (Fig. 2). F11/EcR cells transfected with DT63-encoding pEF-BOS, in addition to V642I APP-encoding pIND, demonstrated no significant increase of cell death

induced by ecdysone even in the presence of ecdysone. On the other hand, cells transfected with pEF-BOS or pEF-BOS encoding DT171, demonstrated significant increase in cell death in response to ecdysone. Fig. 3 demonstrates the result confirming the effect of DT63 on neuronal death induced by the four FAD genes (V642I APP, NL 5 APP, M146L PS-1, and N141I PS-2), respectively, using simple transient transfection. When F11 cells were co-transfected with empty pEF-BOS in addition to the pcDNA encoding any one of FAD genes (V642I APP, NL APP, M146L PS-1, or N141I PS-2), incubation for 72 hours lead to cell death in 50 to 70% of the cells. The transfection efficiency under this condition was about 60 to 70%, which indicates that cell death occurred after 72 hours from transfection in most of the cells expressing one of the FAD genes. Increase in cell death was dramatically suppressed by transfecting F11 cells with DT63-encoding pEF-BOS in addition to one of the FAD genes. This indicates that, DT63 cDNA antagonizes cell death induced by the four AD genes with a high efficiency. Fig. 4 demonstrates the effect of other DT clones that contain the entire HN sequence, and other DT clones that do not contain the entire sequence (DT29, DT44, and DT171 cDNA). Although marked suppression of cell death induced by each of the FAD genes was demonstrated with DT29 and DT44, which are clones encoding the entire HN sequence, action of antagonizing cell death could not be confirmed with DT171 lacking the first ATG codon of HN. These data indicate that the ORF encoded by HN protects neurons from cell death caused by all four FAD genes.

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Therefore, the present inventor subcloned HN cDNA into the pFLAG vector (pHN), and directly investigated the effect of pHN towards neuronal death caused by each of the FAD genes, V642I APP, 30 NL-APP, M146L PS-1, and N141I PS-2. As expected, transfection of pHN into F11 cells hardly showed toxicity, and furthermore, detoxified the toxicity by the FAD genes (Fig. 5). The antagonizing activity is not the result of suppression of the expression of respective FAD genes by pHN. This is verified by the fact that co-transfected pHN doesn't change the expression of FAD genes expressed from the CMV promoter, which was indicated from the finding that

co-transfection didn't change the expression of EGFP expressed from the CMV promoter (data not shown. Furthermore, immunoblotting of V642I APP, NL-APP, and N141I PS-2 confirmed that co-transfection of pHN hardly has any effect on the expression of these genes (data not shown).

[0064]

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## [Example 3] Extracellular secretion of HN

In the course of experiments, the culture supernatant of F11 cells transfected with pHN (CM/F11-pHN) 10 was demonstrated to significantly suppress cell death induced by FAD genes encompassing V642I APP. Cell death was induced at high rates in F11 cells transfected with V642I APP cDNA under the presence of fresh media or culture supernatant of F11 cells transfected with empty pFLAG 15 vector (CM/F11-vec), but in contrast, under the presence of CM/F11-pHN, cell death decreased dramatically in F11 cells transfected with V642I APP cDNA (Fig. 6). Similar results were obtained with DT clones. Complete suppression of V642I APP-induced cell death of F11 cells was observed with CM/DT29 and CM/DT63, but not with CM/DT171. 20 result indicates that HN polypeptides transcribed from HN or cDNA encoding HN are secreted into the culture media to suppress cell death induced by V642I APP. Fig. 7 demonstrates the result of investigation on immunoreactivity of HN in CM/F11-pHN using anti-FLAG antibodies. CM/F11-pHN and the lysate of cells transfected with pHN showed a single 25 band at 3 to 4 kDa, indicating immunoreactivity of HN, the size of wishich concordant with the expected molecular weight for FLAG-fused HN (3837 Da; Fig. 7, left and center panels). determination using synthetic FLAG-fused HNpolypeptide (MAPRGFSCLLLLTSEIDLPVKRRAGTDYKDDDDK: Flag tag is underlined) (SEQ ID NO: 6) demonstrated that HN is present in CM/F11-pHN at a 30 concentration of 8 to 9  $\mu\text{M}$  (Fig. 7, right panel). These findings indicate that HN is transcribed from pHN and is secreted into the culture supernatant.

35 [0065]

[Example 4] HN encodes signal sequence-like activity

23 to 24 N-terminal residues of the entire 24 amino acids of the HN sequence satisfy the requirements necessary as a signal H. et al., 1999, sequence (Nielsen, Protein Eng. determination program provided at fact <http://www.cbs.dtu.dk/services/SignalP/>). The that intracellularly expressed HN is secreted into the culture media at micromolar concentrations suggests that HN has not a signal sequence activity itself, but has an activity similar to that of a signal sequence. Since the flag tag is positioned at the C-terminus, and the secreted HN polypeptide has a molecular weight concordant with the size expected for FLAG-fused HN, the entire HN sequence is suggested to encode a signal sequence-like secretion activity.

To confirm the hypothesis, the effect of L9R mutation, which should lead to loss of the signal sequence properties of the HN sequence, on HN secretion was investigated. As expected, the L9R (SEQ ID NO: 7) was expressed inside the cell mutant HN (HNR) transfected with the cDNA encoding the mutant, and secretion into the culture supernatant couldn't be observed (Fig. 8, top panel). The result demonstrates that the secretion activity of the signal sequence-like HN sequence is coded on the primary structure of HN. According to another investigation, the signal sequence activity of HN was investigated directly by fusing HN sequence to the N-terminus of EGFP (HN-EGFP). EGFP having HN fused to the N-terminus was secreted into the culture supernatant of transfected cells, but the original EGFP was not secreted at all to the outside the cell (Fig. 8, bottom panel). Similarly to EGFP, EGFP having HNR fused to the N-terminus was not secreted at all. These data demonstrate that HN itself encodes a signal sequence-like activity. This shows that HN is a unique secretory protein that encodes a signal sequence-like secretory 30 activity and a biological activity at the same time.

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[Example 5] Suppressive effect of synthetic HN polypeptide on cell death induced by V642I APP

35 Next, the present inventor synthesized a synthetic HN polypeptide MAPRGFSCLLLLTSEIDLPVKRRA (SEQ ID NO: 5), and investigated

its action on neuronal death induced by V642I APP by adding the polypeptide extracellularly. Cell death induced by V642I APP was dramatically suppressed by transfecting F11 cells with V642I APP cDNA and culturing the cells in the presence of 10  $\mu\text{M}$  synthetic HN polypeptide (sHN)(Fig. 9). Only an extremely weak suppression was indicated at 10 nM sHN. The suppressive action was dependent on the concentration of sHN addded, and at the level of 1 to 10  $\mu\text{M}$  polypeptide, complete suppression could be achieved. IC50 value was about 100 nM. The dose-dependent curve agrees with the fact that HN secreted at a level of about 10  $\mu\text{M}$  into CM/F11-pHN effectively suppressed cell death induced by V642I APP.

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# [Example 6] Suppressive effect of HN polypeptide and structural derivatives thereof on cell death induced by V642I APP

The present inventor further examined whether the cell death suppressive action of sHN is dependent on the specific primary structure (Fig. 9). A complete antagonizing effect on cell death induced by V642I APP could be observed at a concentration of 10 nM or less with S14G (MAPRGFSCLLLLTGEIDLPVKRRA: the underlined G replaces S; called HNG) (SEQ ID NO: 8) as the polypeptide, and  $IC_{50}$ of the polypeptide was about 100 pM. In contrast, C8A HN polypeptide (MAPRGFSALLLLTSEIDLPVKRRA: underlined A replaces C; called HNA) (SEQ ID NO: 9) did not significantly suppress cell death induced by V642I APP at concentrations up to 100  $\mu M\,.\,\,$  The importance of Cys at position 8 was also suggested from the result obtained using an HN dimer (C8-C8 HN), bound through Cys at position 8. The antagonizing action level of C8-C8 HN was in between those of the original HN and HNA. On the contrary, a derivative wherein the HN C-terminal KRRA was substituted with AAAA (SEQ ID NO: 10) indicated similar functional activity to the original HN polypeptide. These results indicate that the primary structure has a fundamental role in the suppression activity of HN, and that particular amino acid residues have a predetermined role.

35 [0068]

[Example 7] Suppressive effect of HN polypeptides and structural

## derivatives thereof on cell death induced by FAD genes

Next, the effect of sHN, synthetic HNG (sHNG), and synthetic HNA (sHNA) on cell death induced by other FAD genes, more specifically, those induced by NL-APP, M146L PS-1, and N141I PS-2 was investigated. As indicated in Fig. 10, the original sHN demonstrated similar dose-responsiveness on cell death induced by any of the three FAD genes, and blocked neuronal death induced by the FAD genes at a concentration of 1  $\mu\text{M}$ . Up to a concentration of 100  $\mu\text{M}$ , sHNA did not antagonize cell death by any of the FAD genes. In contrast, sHNG completely suppressed cell death caused by any of the FAD genes at a concentration of 10 nM or less. This indicates that the action of HN is enhanced 100 to 1000 fold by S14G substitution. Taking the action of sHNG on cell death induced by V642I APP (Fig. 9) togeter, sHNG at a concentration of 10 nM or less, completely antagonizes neuronal death induced by all of the four different types of FAD genes.

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# [Example 8] Cell death suppressive effect by the transfection of vectors expressing HN and structural derivative thereof

Next, cell death suppressive effect of HNG or HNA-encoding plasmid (pHNG or pHNA, respectively) compared to pHN was investigated to confirm the data obtained from synthetic polypeptides. similarly to the case with demonstrated in Fig. 11, co-transfection of pHNG completely suppressed cell death induced by all of the 4 types of FAD genes. In contrast, even though HNA polypeptides were produced and secreted into the media by pHNA co-transfection (Fig. 7), similarly to the cases with pHN and pHNG, suppression of cell death caused by any of the FAD genes couldn't be observed. These data obtained from each of the plasmids not only support the result of the previously mentioned polypeptide analysis, but also suggests that HNG concentration within CM/F11-pHNG (culture supernatant of F11 cells transfected with pHNG) exceeds 10 nM. Concordant with the result, according to the immunoblot analysis, the culture supernatant of F11 cells transfected with pHNG (CM/F11-pHNG) contained about 10  $\mu M$  of HNG polypeptide (Fig. 7, right panle). These data indicate that the cell death suppression activity

of HN is determined by its specific amino acid structure, and that the cell death suppression action caused by extracellularly adding HN polypeptides may be also caused by intracellularly expressing HN cDNA.

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[0070]

[Example 9] Specificity of the cell death suppressive effect of HN To elucidate the specificity of HN action, the ability of HN cDNA or HN polypeptide to antagonize cell death induced by causative genes of other neurodegenerative diseases was investigated. Polyglutamine Q79, having 72 repeats, is considered to be the cause of Huntington's disease (HD) and certain types of spinocerebellar ataxia (SCA) (Ikeda, H. et al. (1996) Nat. Genet. 13, 196-202; Kakizuka, A. (1997) Curr. Opin. Neurol. 10, 285-90). In accordance with the report that Q79 expression causes neuronal death, F11 cells underwent cell death due to the expression of Q79 (Fig. 12). Examination of neurotoxicity was carried out in the presence or absence of ecdysone by transfecting F11/EcR cells with Q79 plasmid, the expression of which is induced by ecdysone (pDN-E/G5H-Q79). In this system, cell mortality markedly increased in response to ecdysone treatment when F11/EcR cells were transfected with pDN-E/G5H-Q79 together with the empty vector (pFLAG) (Fig. 12A). Similarly, high proportions of cell death of F11/EcR cells, transfected with pDN-E/G5H-Q79 together with pHN, pHNG, or pHNA, were induced by ecdysone treatment. Further, F11/EcR cell death caused by ecdysone-induced expression of any of the FAD gene was effectively suppressed by the co-transfection of pHN (Fig. 12B). Cell death induced by Q79 was not suppressed in the experiment using sHN (Fig. 12C). Extensive cell death was caused by ecdysone when F11/EcR cells were transfected with pDN-E/G5H-Q79, even in the presence of sHN, sHNG, or sHNA at a concentration of sHN or sHNG that enables complete suppression of F11/EcR cell death caused by any one of the 4 types of FAD genes, just as in the absence of sHN, sHNG, or sHNA (Fig. 12D).

[0071]

Additionally, the present inventor investigated the effect of HN on neuronal death induced by mutants of Cu/Zn-dependent superoxide

dismutase (SOD1), i.e. A4T, G85R, or G93A, associated with familial amyotrophic lateral sclerosis (familial ALS). In accordance with previous reports reporting that expression of familial ALS-associated SOD1 mutants cause cell death of mammalian neurons (Rabizadeh, S. et al. (1995) Proc. Natl. Acad. Sci. U.S.A. 92, 3024-8; Ghadge, G. D. et al. (1997) J. Neurosci. 17, 8756-66), significant cell death was induced with all of the mutants by transfecting F11 cells with a cDNA that expresses one of the mutants. Further, a similar high cell mortality was induced when F11 cells were co-transfected with pHN in addition to each SOD1 mutant gene (Fig. 13A). As demonstrated in Fig. 13B, cell death caused by one of the familial ALS-associated SOD1 mutants couldn't be suppressed with 100  $\mu M$  of any of sHN, sHNG, These data suggest that HN activates the intracellular or sHNA. mechanism for suppressing the cell death execution mechanism triggered by the FAD genes, but does not function on cell death caused by other neurodegenerative disease genes, and verify that the antagonizing effects of HN cDNA and HN polypeptides are common and specific to neuronal death associated with AD.

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## [Example 10] Suppressive effect of HN on cell death of primary neuronal culture

The present inventor examined the protection of primary cultured neurons by HN from damages associated with AD. Aeta is the major peptide component of senile plaque and an extracellular deposit that pathologically characterizes an AD brain, and is suggested to be associated with the pathological mechanism of AD (Selkoe, D. J. (1994) J. Neuropathol. Exp. Neurol. 53, 438-47; Cummings, J. L. et al. (1998) Neurology 51, S2-17; discussion S65-67). A $\beta$  treatment has been reported to induce cell death of primary cultured neurons (Loo, D. T. et al. (1993) Proc. Natl. Acad. Sci. U.S.A. 90, 7951-7955; Gschwind, M. and Huber, G. (1995) J. Neurochem. 65, 292-300). As demonstrated in Fig. 14, extensive cell death accompanied by dystrophic neuritic changes of the axon was induced in primary cultured cortical neurons treated with 25  $\mu$ M A $\beta$ 1-43 for 48 to 72 hours 35 in the presence or absence of N2 supplement. Cell death induced by

Aeta, as well as dystrophic neuritic changes of the axon were dramatically suppressed in primary cultured neurons pre-treated with 10  $\mu$ M sHN. Cell death (measured by trypan blue exclusion; Fig. 15, left panel) and cell damage (measured by LDH released from the cells; Fig. 16) was observed by the treatment with A $\beta$ 1-43. These indices 5 of cell survival (i.e., cell death and cell damage) were completely restored to a level observed under basal conditions by the treatment with 10  $\mu$ M sHN. Under the same conditions, 100 ng/ml of NGF did not show effects to antagonize increased LDH release and cell death of neurons induced by  $A\beta$  (data not shown). In spite of the fact that 10 sHN demonstrated a dramatic effect in antagonizing neuronal death induced by  $A\beta$ , similar treatment of neurons with 10  $\mu$ M sHN could not prevent the toxic effect of 20 µM etoposide on primary cultured neurons (Fig. 15, right panel; and Fig. 16). Etoposide is an anticancer agent 15 — and has been reported to induce cell death of primary cultured neurons (Nakajima, M. et al. (1994) Brain Res. 641, 350-2). These findings support the idea that HN antagonizes neuronal death induced by  $A\beta 1-43$ by a selective mechanism. As indicated in the experiment of FAD genes using F11 cells, 10 nM sHNG completely protected cells from cell death 20 and dystrophic neuritic changes of the axon caused by  $A\beta 1-43$ , but 10 nM sHN or 10 µM sHNA both did not show any effect against neurotoxicity of  $A\beta$  (Fig. 14). The result was confirmed not only by the LDH release assay (Fig. 16), which is an assay of cell damage, and by trypan blue exclusion assay (Fig. 15), but also by Calcein staining assay (Fig. 25 17 and 18), which is an assay of viable cells. Thus, HN was shown to have similar effects on primary cultured neurons as on cloned neurons. Furthermore, these data suggest the existence of a receptor (group of receptors) that specifically recognizes the HN structure, common between F11 cells and primary cultured neurons.

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[0073]

#### [Example 11] Expression of HN mRNA

Using  $\beta$ -actin mRNA as a positive control, expression of HN mRNA in various tissues were examined. From Northern blot analysis of human tissues, remarkable HN mRNA expression was detected in the heart, skeletal muscles, kidneys, and liver (Fig. 19a). Although less than

in those tissues above, a significant expression was detected in the brain and in the gastrointestinal tract. In the immune system, including the thymus, spleen and peripheral leukocytes, mRNA was hardly detected. The size of the mainly expressed mRNA was about 1.6 kb which corresponds to the size expected for the longest DT cDNA 5 comprising HN. Additionally, mRNAs of different sizes of about 3 kb and about 1 kb were also detected. Similar results as those mentioned above were obtained and all of the bands were detected when DT77, encoding the 3' region of HN but not the HN (see Fig. 1), or an antisense 10 primer (GGGTGTTGAGCTTGAACGC/ SEQ ID NO: 11) against the 5' region, -440 to -422, of HN was used as a probe. Thus, the mRNAs are expected to be full length HN mRNA and splicing variants thereof. inventor isolated several cDNAs from human heart cDNA library. The size of the isolated cDNAs exceeded 1 kbp, and the positions of the 15—cDNAs were substantially the same as those of DT44. Similar results, except for the differences mentioned below, were obtained in mouse tissues (Fig. 20). A difference was that the skeletal muscle and liver of mouse had lower levels of HN mRNA compared to human tissues. However, quantitative differences seemed to be affected by conditions 20 of the individuals, since the HN mRNA level was higher in skeletal muscles and liver of other mice (data not shown). Another difference was that the small 1 kb mRNA was expressed at an amount comparable or greater to the amount of the 1.6 kb mRNA in mouse heart and kidneys. Further, an additional mRNA of about 0.4 kb was specifically expressed 25 in mouse brain, heart, and skeletal muscles. Detailed analysis of the expression regions in the brain revealed that comparatively large amounts of mRNA were expressed in the cerebellum and in the occipital lobe among the brain regions. These results indicate that HN mRNA is mainly produced in organs other than the central nervous system. 30 Therefore, HN is possibly secreted into the blood stream, and is transported to the cranial nerves. Alternatively, locally synthesized HN in the brain may exhibit a protective action. interesting to note that most of the HN mRNA is synthesized in the cerebellum and occipital lobe, which are regions demonstrating 35 strongest resistance against neuronal death in AD brains.

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### [Example 12] Effect of secretion-defective mutant of HN

It is clear from the data above that extracellularly added HN polypeptides act from outside of the cell. However, the possibility that HN polypeptides taken in from the cell surface exhibit effects inside the cell cannot be denied. HN may act inside ER as reported for the cell death of primary cultured neurons caused by A $\beta$ 1-40, which is mediated by caspase-12, the main caspase existing in the endoplasmic reticulum (ER) (Nakagawa, T. et al. (2000) Nature 403, 98-103). When HN acts inside ER, HN synthesized in ER should be able to produce protective signals in situ. Accordingly, secretion-defective mutant L9R HN was used to verify the hypothesis.

F11 cells transfected with V621I APP cDNA and L9R HN (HNR) cDNA demonstrated high cell mortality, similar to that in cells transfected with V621I APP cDNA alone (Table 1, right column). Cell death of cells transfected with V621I APP cDNA and HNR cDNA was completely suppressed when sHN was present in the culture media, which confirmed the lack of protective activity of intracellularly expressed HNR. In contrast, it was demonstrated that transfection with V642I APP cDNA under the same conditions doesn't induce cell death when sHNR is added extracellularly (Table 1, left column). HNR translated from HNR cDNA in ER is not secreted into the culture media (Fig. 8, top panel). Thus, HN polypeptide has to exist outside and not inside of the cell to exert the function of HN to rescue cells. These data show that HN can only exhibit its effect by acting from outside of the cell. [0075]

[Table 1]

		<del> </del>		
	no transfection	13.2±0.7	pcDNA + pFLAG	10.3±2.0
30	pcDNA	11.5±0.6	V642I APP + pFLAG	52.2±1.5 <sup>*</sup>
	V642I APP	53.7±2.3	V642I APP + pHN	17.6±2.2
	V642I APP + sHN	14.4±1.2*	V642I APP + pHNA	53.2±0.6
	V642I APP + sHNA	52.5±3.0	V642I APP + pHNR	52.0±2.1**
•	V642I APP + sHNR	17.7±2.2*	V642I APP + pHNR + sHN	15.3±2.0*
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<sup>(</sup>F11 cells transfected with pcDNA or V642I APP cDNA, were

simultaneously co-transfection with pFLAG or pHN plasmid (right), or were treated with 10  $\mu$ M sHN polypeptides (left). 72 hours after cultivation, cell mortality (%) was measured by trypan blue exclusion assay. The values are indicated as mean  $\pm$ S.D. values of three independent transfections and treatments. \*: significant suppression on cell death induced by V642I APP (p<0.01); \*\*: no significant suppression on cell death induced by V642I APP)

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# 10 [Example 13] <u>Detailed structure/function relationship of the protective activity of HN</u>

Detailed relationship between the primary structure and protective activity of HN was investigated. Fig. 21 demonstrates the result of analysis examining whether a truncated HN polypeptide antagonizes V642I APP. F11-cells were transfected with V642I APP cDNAand were cultured in the presence of 10  $\mu M$  HN derivative. Hardly any effect on cell protective activity was observed by the deletion of two N-terminal residues from the HN polypeptide in the system. On the other hand, activity of HN disappeared by a deletion of three residues from its N-terminus. This suggests that although Met-Ala at the N-terminal end is not necessary, the third Pro is essential for the activity. According to a similar experiments on the deletion of the C-terminus, as demonstrated in Fig. 21, Val-Lys-Arg-Arg-Ala at the C-terminal end is not necessary whereas the nineteenth Pro was essential for maintaining the complete protective activity of Therefore, the minimum region required for maximum activity is from Pro3 to Pro19, which was dubbed HN-17 (SEQ ID NO: 21).

[0077]

The top panel on the left of Fig. 22 demonstrates the effect of HN lacking the three N-terminal residues ( $\Delta$ N3) and HN-17 on neuronal death induced by the four FAD genes. HN-17 demonstrated a sufficient antagonizing activity on cell death induced by all of the three FAD genes other than the above-mentioned V642I APP, whereas  $\Delta$ N3 peptide show no activity on cell death by any of the genes. The other panels of Fig. 22 demonstrate the dose-response relationship of the effect of synthetic HN-17 on neuronal death induced by each of the four FAD

genes. Although HN-17, compared to the original HN, had slightly lower activity to suppress cell death induced by M146L PS-1, and required higher concentrations for the activity, low  $\mu$ M HN-17 exhibited a common sufficient protective action against cell death by any of the four FAD genes. The result suggests that the two N-terminal residues and the five C-terminal residues are not necessary for the common protective action.

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Further, it was verified whether the HN-17 residues are essential for the HN-17 protective action. First, 10 nM S14G HN-17 (HNG-17) (SEQ ID NO: 24) was demonstrated to completely antagonize cell death of F11 cells induced by the four FAD genes and of primary cultured neurons by A $\beta$ 1-43, similar to the case using 10 nM HNG. HNG-17 derivatives (SEQ ID NO: 25 to 41), wherein each of the residues from Pro3 to Pro19 of HNG-17 was substituted with Ala one at a time, were synthesized; and the effect of the derivatives on cell death of primary cultured neurons induced by 25  $\mu$ M A $\beta$ 1-43 was examined. 23 demonstrates the result of testing on cell death suppressive effect of each Ala-substituted HNG-17 derivative. The substituted residues are indicated below the graph, that indicates cell mortality values. According to the examination, seven residues essential for neuronal protection against cell death induced by  $A\beta$  were clearly identified: Pro at position 3; Cys at position 8; Leu at position 9; Leu at position 12; Thr at position 13; Gly at position 14 (originally Ser); and Pro at position 19. The role of other residues are not denied in the examination, but was demonstrated to be replaceable with Ala without affecting the protective activity. The result, that Pro at position 3, Cys at position 8, Gly at position 14, and Pro at position 19 is essential for the activity, agrees with the data obtained by the HN deficiency experiments (Fig. 21) and the HN amino acid residue replacement experiments described above (Fig. 9 and 10). notable that substitution of Ser at position 14 to Gly enhances the protective activity whereas substitution to Ala results in loss of the protective activity. This indicated that slight changes of specific residues and side chains enhances or decreases the protective activity of HN against  $A\beta 1-43$ .

[0079]

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The other panels of Fig. 23 demonstrate the results of examination on the effect of Ala-substituted (Ala-scanned) HNG-17 against cell death of F11 neurons induced by each of the four FAD genes. According to the data, residues having essential roles in antagonism were exactly the same as those necessary for antagonizing cell death of primary cultured neurons induced by  $A\beta$ . This suggests that HN protects neurons from a wide spectrum of AD damages through a common mechanism. The 7 essential residues may maintain some kind of secondary structure necessary for the recognition by a specific mechanism (receptors, and such) on the cell surface.

[0080]

The superior ability of HN and HNG activity, and the fact that the activity strictly depends on the primary structure suggests the existence of a specific receptor. Antagonism of HN against neuronal death induced by the four FAD genes and by  $A\beta 1-43$  indicated extremely similar dose-response characters, as mentioned above, which also support the hypothesis (existence of a specific receptor). dose-response character was also observed for both HN derivatives having positive (S14G) and negative (C8A) functions. analysis of the structure/function relationship verified that the regions and residues of HN necessary for the antagonism against these various AD damages precisely coincide in all types of HN. Furthermore, experiments on the secretion ability-defective mutant revealed that extracellular secretion of HN is essential for exhibiting the protective activity. These data indicate that the action of HN is caused from outside of the cell through specific cell surface receptors.

30 [0081]

#### [Example 14] Production of HNG derivatives

Enhancement of the HNG action by mutation of HNG (S14G HN) was verified. According to an experiment, examining the neuroprotective action of HNGs wherein multiple amino acid residues are substituted with other amino acids, polypeptides with higher rescue activity compared to HNG were obatined by mutating two positions, R4A/F6A (SEQ

ID NO: 42). The polypeptide, dubbed AGA-HNG, not only completely rescued cell death of nerve cell line induced by FAD genes at a concentration as little as 0.1 nM, but completely rescued cell death of primary cultured neurons by  $A\beta$  at a concentration of 0.3 nM (Fig. Arg and Phe at position 4 and 6 of HNG, respectively, are are cleaved by trypsine-like protease which chymotrypsin-like protease, respectively (see Fig. 24A). Thus, it is likely that R4A/F6A substitution of HNG enhances resistance to degradation. A remarkable high activity for AGA-HNG is implied by the fact that AGA-HNG detoxifies the neurotoxicity of  $A\beta$  of high concentration, a 100000-fold higher concentration than AGA-HNG. Anti-AD agent that has such a broad spectrum with high neuroprotective action has not been reported so far. Application of AGA-HNG or derivatives thereof to chemotherapy of AD is expected.

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[0082]

[Effects of the Invention]

The present invention provides Humanin polypeptide, which has ability to antagonize neurodegeneration associated with Alzheimer's disease. The polypeptide is the first molecule which has the ability to antagonize cell death of neurons induced by four kinds of FAD genes and A $\beta$ . Further, the polypeptide can antagonize cell death of cloned neurons induced by V642I APP, NL-APP, PS-1 mutants, and PS-2 mutants, and cell death of primary cultured neurons induced by A $\beta$ 1-43. Protective factors acting extracellularly and possessing a wide spectrum, antagonizing  $Aoldsymbol{eta}$  and all of the known types of early onset FAD genes, had never been characterized before. Usefulness of HNG for elucidating the mechanism of neuronal death associated with Alzheimer's disease, as well as the extreme usefulness of HNG for 30 . clinical applications was particularly demonstrated by the fact that neurotoxicity associated with AD is completely antagonized with 10 nM or less of HNG. The protective action of HNG is extremely high, and HNG has generality and stringent specificity. Therefore, HNG, AGA-HNG, and derivatives generated thereof are extremely useful as pharmaceuticals to prevent neuronal death associated with Alzheimer's disease, and as seed compounds for the development of

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pharmaceuticals for Alzheimer's disease.
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[0083]

[Sequence Listing]

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    [Brief Description of the Drawings]
      [Fig. 1]
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           Fig. 1 depicts a schematic illustration of the region in Humanin
```

cDNA clone that encodes a polypeptide that antagonizes cell death

caused by V642I APP.

DNA fragments were aligned with respect to the longest sequence (from -934 to 600; the number 1 nucleotide corresponds to the first nucleotide of Humanin ORF, and the nucleotide adjacent to it is numbered -1). Activities of the fragments against F11/EcR cell death induced by V642I APP are indicated under the item "rescue activity". F11/EcR cells were transfected for 3 hours with pIND (1 µg), encoding V642I APP, and 1 µg of either pEF-BOS or pEF-BOS encoding each of the DNA fragments; and then, were treated with ecdysone for 72 hours. Cell death was measured by trypan blue exclusion assay. A DNA fragment was determined to antagonize cell death (described as "Y" under the item "rescue activity") when the mortality of cells transfected with the DNA fragment showed a statistically significant difference with that of cells transfected with pEF-BOS. "N" indicates the absence of such a significant antagonizing activity.

### [Fig. 2]

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Fig. 2 depicts a graph demonstrating the effects of DT63 clone and DT171 clone on neuronal death caused by ecdysone-induced expression of V642I APP. F11/EcR cells were transfected with ecdysone-inducible V642I APP plasmid, and any one of pEF-BOS, DT63, or DT171 (DT63 and DT171 were cloned in pEF-BOS), and were treated with Ponasterone (ecdysone). A group without ecdysone treatment was also set up. 72 hours after ecdysone treatment, cell death was measured by trypan blue exclusion assay. Cell death of the group without ecdysone treatment was measured similarly. The values with error bars in the graph represent mean ±S.D. values of three independent transfection experiments. DT63 and DT171 are shown in Fig. 1.

[Fig. 3]

Fig. 3 depicts a graph demonstrating the effect of DT63 clone on neuronal death induced by the expression of the FAD gene. F11 cells were transfected with pcDNA; or pcDNA encoding V642I APP, NL-APP, M146L PS-1, or N141I PS-2; and pEF-BOS (vec); or pEF-BOS encoding DT63; and were cultured for 72 hours. Cell death was measured by trypan

blue exclusion assay. The values with error bars in the graph indicate the mean  $\pm S.D.$  values of three independent transfection experiments.

#### [Fig. 4]

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Fig. 4 depicts graphs indicating the effects of DT29, DT44, and DT171 clones on F11 cell death caused by FAD gene transfection. Similarly to Fig. 3, F11 cells were transfected with pcDNA; or pcDNA encoding either V642I APP, NL-APP, M146L PS-1, or N141I PS-2; and pEF-BOS(pBOS); or pEF-BOS encoding DT clone; and were cultured for 72 hours. Cell death was measured by trypan blue exclusion assay. DT29 and DT44 are shown in Fig. 1. Basal cell mortality (no transfection, pcDNA+ pBOS) was concordant in the three experiments performed simultaneously. Similar experiments were performed at least three times. The values with error bars in the graph indicate the mean ±S.D values.

#### [Fig. 5]

Fig. 5 depicts graphs demonstrating the effect of Humanin-encoding plasmid pHN on neuronal death induced by expression of a FAD gene. F11 cells were transfected with an empty vector (pcDNA); or pcDNA encoding V642I APP, NL-APP, M146L PS-1, or N141I PS-2; and pFLAG; or pFLAG encoding HN (pHN); and were cultured for 72 hours. Cell death was measured by trypan blue exclusion assay. The values are mean ±S.D. values obtained by three independent experiments.

#### [Fig. 6]

Fig. 6 depicts a graph demonstrating the suppressive effect of a culture supernatant from pHN-transfected F11 cells on neuronal death induced by V642I APP. F11 cells were transfected for 3 hours with either pcDNA or pcDNA encoding V642I APP, in the absence of serum; cultured in HamF-12 containing 18% FBS for 2 hours; and cultured in CM/F11-pHN (CM/pHN), CM/F11-vec (CM/vec), or fresh media (fresh HamF-12 containing 18% FBS) for 67 hours. 72 hours after the transfection, cell death was measured by trypan blue exclusion assay. The values are indicated as mean ±S.D. values of three independent

experiments. p< 0.01; according to a Student's t test.

[Fig. 7]

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Fig. 7 depicts drawings demonstrating the immunoreactivity of HN polypeptides contained in the culture supernatant of F11 cells transfected with pHN. The left and middle panels demonstrate the result of immunoblotting using anti-FLAG antibody on cell extracts (30 µg protein) and culture supernatant (20 µl) following Tris/Tricine gel electrophoresis (lane 1: cells with no transfection; lane 2: pFLAG-transfected cells; lane 3: pHN-transfected cells). The right panel demonstrates the result of a similar analysis on the culture supernatant of cells transfected with pHN, pHNG, or pHNA. The 3 lanes on the right demonstrate the results of immunoblotting on sHN-FLAG (MAPRGFSCLLLLTSEIDLPVKRRAGTDYKDDDDK: the underlined region is a FLAG tag) (SEQ ID NO: 6) with indicated concentrations, to determine the titer of HN polypeptides contained in the culture supernatant. Similar experiments were repeated 4 times or more.

#### [Fig. 8]

Lower panel: photographs demonstrating signal sequence-like secretion activity of HN. F11 cells were transfected with EGFP cDNA (lane 2), HN-EGFP fusion cDNA (lane 3), or HNR-EGFP cDNA (lane 4); after 72 hours, cell extracts (lower left panel, 10  $\mu$ g/lane) or culture supernatant (lower right panel, 20  $\mu$ g/lane) were analyzed by immunoblotting with anti-EGFP polyclonal antibody (1/2000) and HRP-conjugated anti-rabbit IgG antibody (1/5000). Samples derived from untransfected F11 cells were blotted on lane 1 of both panels. The left bar indicates molecular weights in Daltons.

#### 30 [Fig. 9]

Fig. 9 depicts graphs demonstrating the effect of synthetic HN (sHN) and structural derivatives thereof on neuronal death induced by V642I APP. F11 cells were transfected with pcDNA encoding V642I APP; and were treated with various concentrations of sHN (Authentic HN) (SEQ ID NO: 5), sHNG (S14G) (SEQ ID NO: 8), sHNA (C8A) (SEQ ID NO: 9), dimer form of sHN through C8 (C8-C8), and sHN in which the

C-terminal KRRA was replaced with AAAA (KRRA21/22/23/24AAAA) (SEO ID NO: 10). 72 hours after the transfection, cell death was measured by trypan blue exclusion assay. Mean ±S.D. values of three independent experiments are indicated.

5

### [Fig. 10]

Fig. 10 depicts graphs demonstrating the effect of sHN, sHNG, or sHNA on neuronal death induced by M146L PS-1, N141I PS-2, or NL-APP. Similarly to Fig. 9, F11 cells were transfected with M146L PS-1, N141I PS-2, or NL-APP cDNA; and were treated with various concentrations of sHN (Authentic HN), sHNG (S14G), or sHNA (C8A). 72 hours after the transfection, cell death was measured by trypan blue exclusion assay. Mean ±S.D. values of three independent experiments are indicated.

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#### [Fig. 11]

Fig. 11 depicts bar graphs demonstrating the effect of pHN, pHNG, or pHNA on neuronal death induced by the expression of FAD genes. F11 cells were transfected with empty vector (pcDNA) or pcDNA encoding V642I APP, NL-APP, M146L PS-1, or N141I PS-2, and pFLAG or pFLAG encoding HN (pHN, pHNG, or pHNA); and were cultured for 72 hours. Cell death was measured by trypan blue exclusion assay. Mean ±S.D. values of three independent experiments are indicated.

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#### [Fig. 12]

Fig. 12 depicts graphs demonstrating the lack of effect of HN and structural derivatives thereof on neuronal death induced by polyglutamine repeat Q79. Mean ±S.D. values of three independent experiments are indicated in the graphs.

Panel A: demonstrates the lack of the effect of pHN, pHNG, or pHNA on neuronal death caused by the expression of Q79 induced by ecdysone. F11/EcR cells were transfected with ecdysone-inducible type Q79 expression plasmid, and empty vector (pFLAG), pHN, pHNG, or pHNA, and were cultured for 72 hours in the presence (+) or absence 35 (-) of ecdysone. Cell death was measured by trypan blue exclusion assay.

Panel B: demonstrates a significant suppressive effect by pHN co-transfection on neuronal death caused by ecdysone-induced expression of NL-APP, V642I APP, M146L PS-1, or N141I PS-2. Under the same conditions as in Panel A, F11/EcR cells were transfected with ecdysone-inducible FAD gene plasmid, and pFLAG or pHN, and then, were cultured for 72 hours in the presence (+) or absence (-) of ecdysone. Cell death was measured by trypan blue exclusion assay.

Panel C: demonstrates the lack of effects of sHN, sHNG, or sHNA on neuronal death induced by ecdysone-inducible expression of Q79. F11/EcR cells were transfected with ecdysone-inducible Q79 plasmids; treated with 1  $\mu$ M sHN, sHNG, or sHNA; and then, were cultured in the presence (+) or absence (-) of ecdysone. 72 hours after the initiation of the exdysone treatment, cell death was measured by trypan blue exclusion assay.

Panel D: demonstrates a significant suppressive effect by sHN on neuronal death caused by ecdysone-induced expression of NL-APP, V642I APP, M146L PS-1, or N141I PS-2. Under the same conditions as in Panel C, F11/EcR cells were transfected with ecdysone-inducible FAD gene plasmid; treated with 1 $\mu$ M sHN; and then, were cultured in the presence (+) or absence (-) of ecdysone. 72 hours after the initiation of the ecdysone treatment, cell death was measured by trypan blue exclusion assay.

[Fig. 13]

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25 Fig. 13 depicts graphs demonstrating the lack of the effect of HN and structural derivatives thereof on neuronal death induced by the ALS-associated SOD1 mutants. Mean ±S.D. values of three independent experiments are indicated in the graphs.

Panel A: demonstrates the lack of the effect of pHN co-transfection on neuronal death induced by the expression of the ALS-related SOD1 mutants. F11 cells were transfected with pEF-BOS encoding the ALS-associated mutant SOD1 (A4T, G85R, or G93A mutants of SOD1) and empty vector (pFLAG) or pHN. Cell death was measured by trypan blue exclusion assay.

Panel B: demonstrates the lack of the effect of sHN, sHNG, or sHNA on neuronal death induced by the expression of the ALS-associated

SOD1 mutants. F11 cells were transfected with pEF-BOS encoding A4T, G85R, or G93A SOD1, and were treated with 100  $\mu$ M sHN, sHNG, or sHNA. Cell death was then measured by trypan blue exclusion assay.

# [Fig. 14]

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Fig. 14 depicts phase-contrast photomicrographs demonstrating the effect of HN on A $\beta$ -induced cell death of primary cultured neurons. Representative photomicrographs are shown. Primary cultured cortical neurons were treated for 72 hours with 25  $\mu$ M A $\beta$ 1-43 in the presence or absence of sHN (10 nM, 10  $\mu$ M), 10 nM sHNG, or 10  $\mu$ M sHNA. HN polypeptide was added 16 hours before the initiation of A $\beta$ 1-43 treatment so that the final concentrations of the polypeptides were those indicated in the Fig.. Addition of A $\beta$ 1-43 was performed by initially removing half of the media, and then supplementing with equal-amounts of fresh media, containing 50  $\mu$ M A $\beta$ 1-43 and sHN or sHNA at a concentration mentioned above. Similar experiments were performed at least 3 times, and reproducible results were obtained.

### [Fig. 15]

20 Fig. 15 depicts graphs demonstrating the effect of HN on  $A\beta\text{--induced}$  cell death of primary cultured neurons. 25  $\mu\text{M}$   $A\beta\text{1--43}$  was added to primary cultured cortical neurons in the presence (at indicated concentrations) or absence of sHN, sHNG, or sHNA. Addition of HN polypeptides was performed similarly to that described in Fig. 25 72 hours after the initiation of A $\beta$  treatment, cell death was measured by trypan blue exclusion assay. Primary cultured neurons treated similarly for 72 hours with 20  $\mu M$  etoposide in the presence or absence of 10  $\mu M$  sHN or HN derivatives were used as the positive controls in these experiments. Similar experiments were performed 30 at least three times, and reproducible results were obtained. Mean ±S.D. values of three independent experiments are indicated in the graphs.

## [Fig. 16]

Fig. 16 depicts a graph demonstrating the effect of HN on  $A\beta$ -induced cell death of primary cultured neurons. Cell damage was

monitored as the amount of LDH released into the culture media. 25  $\mu M$  A\$1-43 was added to primary cultured cortical neurons in the presence (at indicated concentrations) or absence of sHN, sHNG, or sHNA. Addition of HN polypeptides was performed similarly to that described in Fig. 14. 24, 48, or 72 hours after the initiation of A\$\beta\$ treatment, the amount of LDH in the culture media was measured. LDH release from neurons treated with 20 \$\mu M\$ etoposide in the presence or absence of HN polypeptides was also measured. Similar experiments were performed at least three times, and reproducible results were obtained. Mean ±S.D. values of three independent experiments are indicated in the graph.

## [Fig. 17]

Fig. 17 depicts drawings demonstrating the effect of HN on  $A\beta 1-43$ -induced cell death of primary cultured neurons. The result demonstrated fluorescence Calcein-AM staining is as photomicrographs.  $25 \,\mu\text{M}\,\text{A}\beta1-43$  was added to primary cultured cortical neurons in the presence (at indicated final concentrations) or absence of HN polypeptides. Addition of HN polypeptides was performed similarly to that described in Fig. 14. 72 hours after A $\beta$ 1-43 treatment, calcein-AM staining was performed. Cytoplasmic fluorescence indicates cell viability. Similar experiments were performed at least three times, and reproducible results were obtained. Representative results are demonstrated in the Fig..

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### [Fig. 18]

Fig. 18 depicts a graph demonstrating the result of fluorescence measurements of Calcein-AM staining. 25  $\mu$ M A $\beta$ 1-43 was added to primary cultured cortical neurons in the presence (at indicated final concentrations) or absence of HN polypeptides. Addition of HN polypeptides was performed similarly to that described in Fig. 14. Calcein-AM staining was performed after 72 hours from the A $\beta$ 1-43 treatment, and fluorescence intensity of each well was measured. The basal fluorescence intensity was calculated as 36960 (unit/well), and this was subtracted from the values measured for each well. Similar experiments were performed at least three times,

and reproducible results were obtained. Mean ±S.D. values of three independent experiments are indicated in the graph.

#### [Fig. 19]

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Fig. 19 depicts drawings demonstrating the expression of HN mRNA in various human tissues. Radiolabeled antisense HN (panel a), 19mer encoding the 5' region (panel b), or DT77 (panel c) was hybridized as a probe to the sheet blotted with human tissue polyA-RNA (lane 1: brain; lane 2: heart; lane 3: skeletal muscles; lane 4: large intestine; lane 5: thymus; lane 6: spleen; lane 7: kidney; lane 8: liver; lane 9: small intestine; lane 10: pancreas; lane 11: lung; lane 12: peripheral leukocytes). The result of Northern blotting on the same sheet using  $\beta$ -actin as the probe is shown in panel d. The numbers on the left indicate molecular sizes. Similar experiments were performed at least three times, and similar results were obtained.

#### [Fig. 20]

Fig. 20 depicts drawings demonstrating the expression of HN mRNA in mouse tissues. PolyA-RNA (2  $\mu$ g/lane) extracted from various mouse organs was submitted to 1.2% agarose gel electrophoresis, and after blotting, hybridization was performed using labeled antisense HN (top left) or  $\beta$ -actin (lower left) as probes (lane 1: brain; lane 2: heart; lane 3: skeletal muscles; lane 4: thymus; lane 5: spleen; lane 6: kidney; lane 7: liver; lane 8: small intestine; lane 9: stomach; lane 10: skin; lane 11: lung).

The right panel demonstrates the HN mRNA expression region in mouse brain. Labeled antisense HN (top panel on the right) or  $\beta$ -actin probe (lower panel on the right) were hybridized to the sheet blotted with polyA-RNA derived from various regions of the mouse brain (lane 1: frontal lobe; lane 2: temporal lobe; lane 3: parietal lobe; lane 4: occipital lobe; lane 5: cerebellum; lane 6: lung). For quantitative comparison among the sheets, the same amount of lung-derived polyA-RNA as in the left panel was electrophoresed in lane 6 for the hybridization.

[Fig. 21]

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Fig. 21 depicts bar graphs demonstrating the result of a detailed analysis of the structure/function relationship regarding the protective activity of HN. The effect of HN-truncated derivatives on V642I APP-induced neuronal death is demonstrated. As indicated in Fig. 9, F11 cells were transfected with V642I APP cDNA in the presence or absence of each of the synthetic HN derivatives; and 72 hours later, cell death was measured by trypan blue exclusion The top panel on the left demonstrates the result of examination on the N-terminus truncated HNs, which sequences are indicated in the panel below. The top panel on the right demonstrates the result of examination on the C-terminus truncated polypeptides of  $\Delta$ N2 HN, which sequences are indicated in the panel below. Mean ±S.D. values of three independent experiments are indicated. results obtained in the experiments indicated in the panel are summarized in the lower panel.

[Fig. 22]

Fig. 22 depicts bar graphs demonstrating the effect of ΔN3HN and HN-17 on neuronal death induced by four different types of FAD genes. F11 cells were transfected with V642I APP, NL-APP, M146L PS-1 or N141I PS-2 cDNA in the presence or absence of 10 μM ΔN3 HN or HN-17; and 72 hours later, cell death was measured by trypan blue exclusion assay (top left panel). The top panel on the right and lower panels indicate dosage-response curves of the effect of HN-17 on neuronal death suppression by the FAD genes. F11 cells were transfected with respective FAD gene (V642I APP, NL-APP, M146L PS-1, or N141I PS-2 cDNA) in a similar manner in the absence or presence of gradually increasing concentrations as shown in the Fig. of synthetic HN-17. 72 hours later, cell death was measured by trypan blue exclusion assay. The result of cells untransfected with FAD genes is indicated as "no Tx". Mean ±S.D. values of three independent experiments are indicated in the graphs.

35 [Fig. 23]

Fig. 23 depicts bar graphs demonstrating the effect of

Ala-substituted (Ala-scanned) HNG-17 on neuronal death caused by four different types of FAD genes and A $\beta$ 1-43. Primary cultured neurons were treated with 25  $\mu\text{M}$   $\text{A}\beta\text{1-43}$  (top panel on the left), or F11 cells were transfected with V642I APP, NL-APP, M146L PS-1 or N141I PS-2 cDNA (other panels) in the presence or absence of 10 nM Ala-substituted HNG-17; and 72 hours later, cell death was measured by trypan blue assay. Cell death antagonizing effect Ala-substituted HNG-17 was determined. Substituted residues are indicated at the bottom of the graphs. Amino acid sequences of each 10 Ala-substited form are indicated in order from SEQ ID NO: 25 to 41. For example, in the top panel on the left, the primary cultured neurons incubated for 72 hours with 25  $\mu$ M A $\beta$ 1-43 in the presence of 10 nM ARGFSCLLLLTGEUDLP (the underlined A is substituted from P) (SEQ ID NO: 25) showed a cell mortality of 75.3±4.4% (mean ±S.D. of three 15 independent experiments), which was comparable to the cell mortality of neurons incubated with A $\beta$ 1-43 (76.1 $\pm$ 4.7%). When neurons were incubated with 25  $\mu$ M A $\beta$ 1-43 in the presence of 10 nM HNG or HNG-17, cell mortality was 29.3±0.9% or 28.8±1.3% respectively, which was comparable to the basal cell mortality (30.0±1.6%). The results 20 indicated as "no T" are results of cells untransfected with FAD genes; "vec" of cells transfected with an empty vector; and "no" of cells untreated with polypeptides. Mean ±S.D. values of three independent experiments are indicated in the graphs.

# 25 [Fig. 24]

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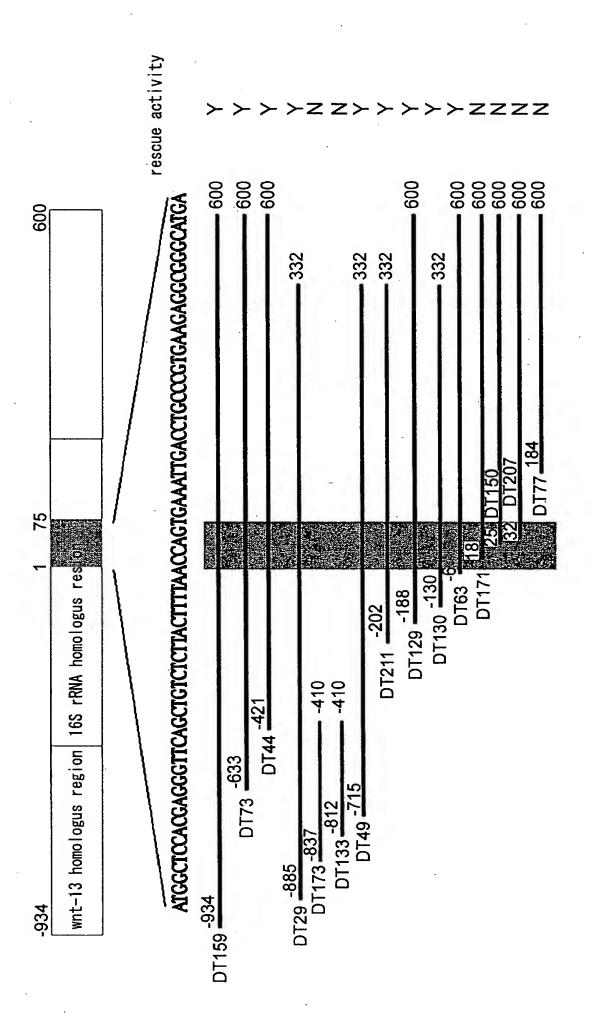
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Fig. 24 depicts graphs demonstrating the effect of AGA-HNG (SEQ ID NO: 42) on neuronal death induced by the four different types of FAD genes and A $\beta$ 1-43. Priamry cultured neurons were treated with 25  $\mu$ M A $\beta$ 1-43 in the absence or presence at various concentrations of AGA-HNG (panel B), or F11 cells were transfected with V642I APP, NL-APP, M146L PS-1, or N141I PS-2 cDNA (panels C to F); and 72 hours later, cell death was measured by trypan blue exclusion assay. In the primary cultured neuron experiment using A $\beta$ , various concentrations of HNG were used to perform similar experiments as controls. The result of untransfected cells is indicated as "no T"; and the result of cells transfected with the empty vector as "pcDNA". Mean  $\pm$ S.D. values of

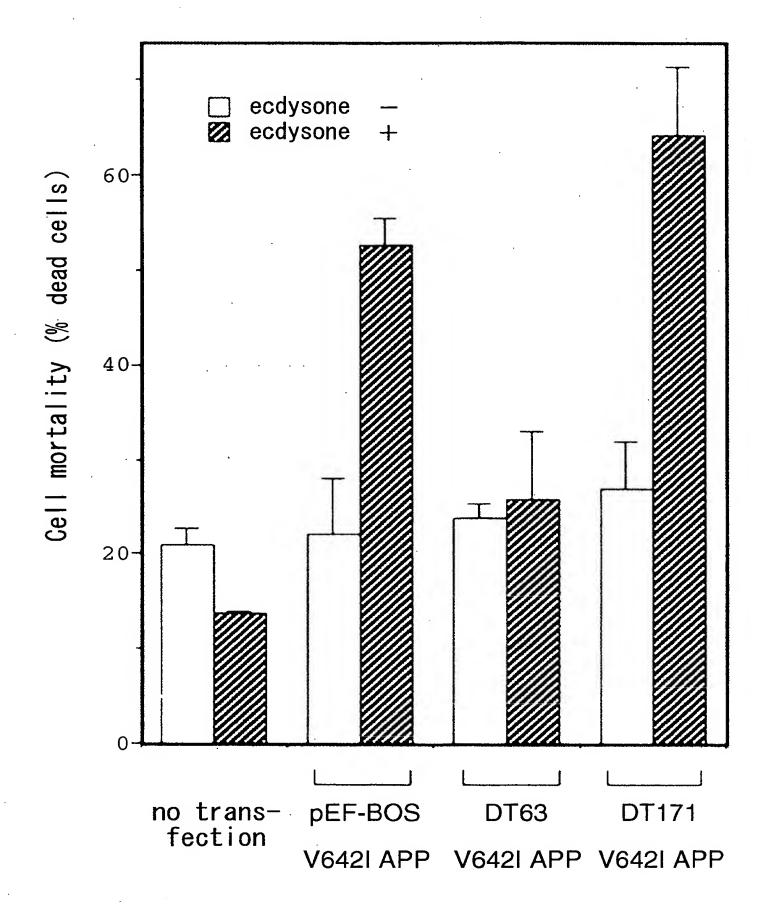
three independent experiments are indicated in the graphs.

[Document Name] Drawings [Figure 1]

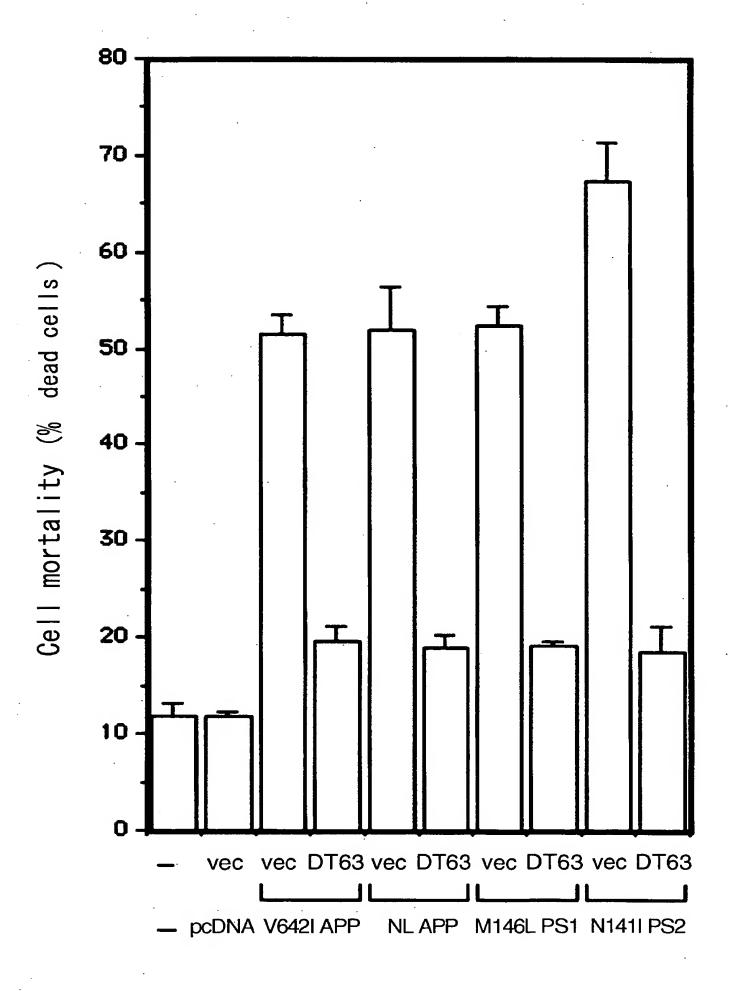
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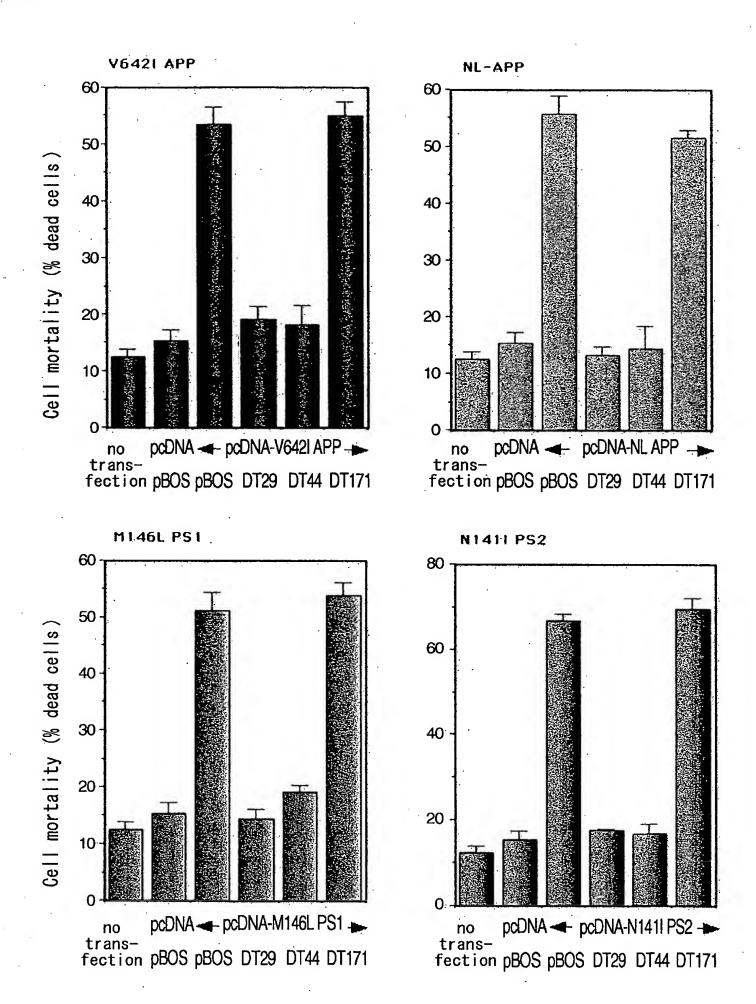
[Figure 2]



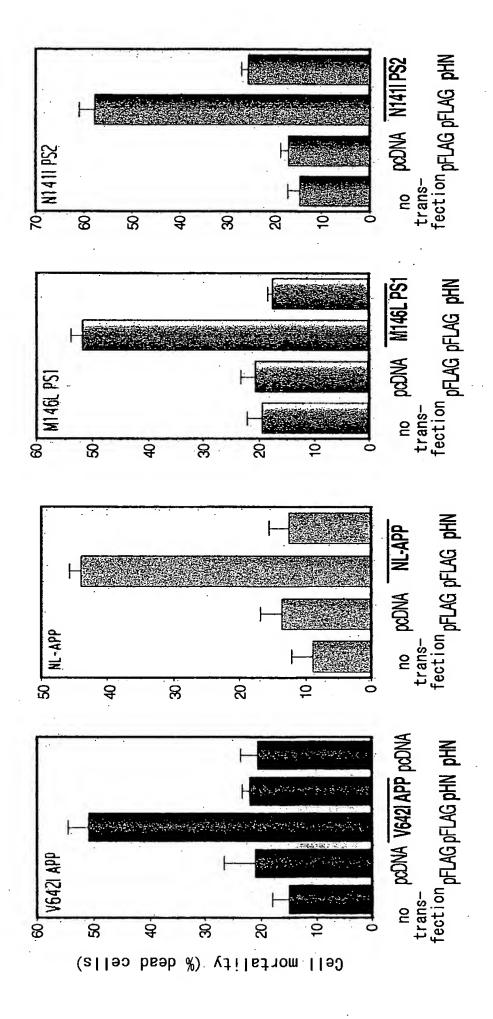
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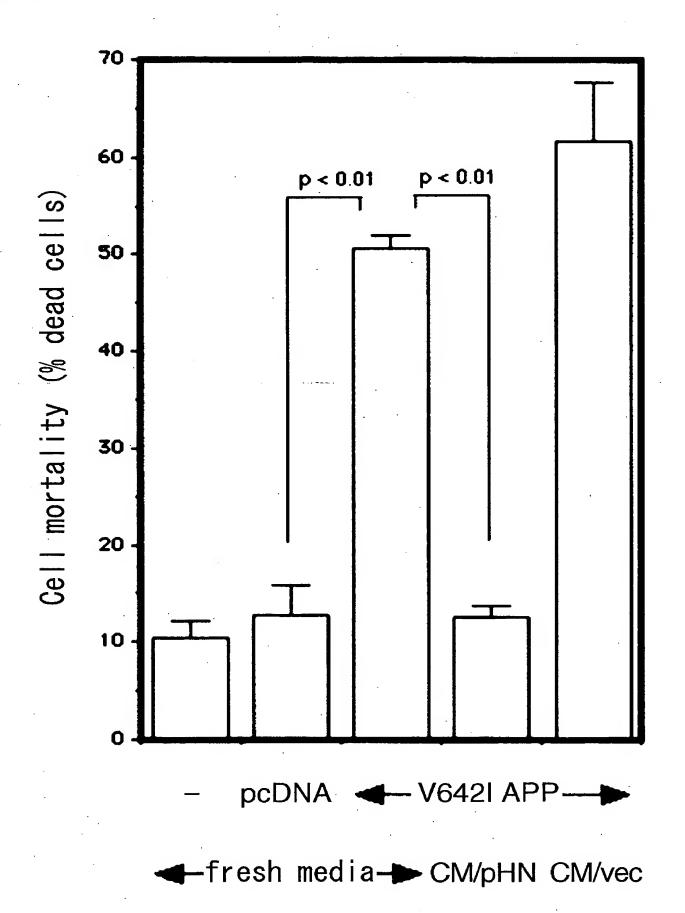
[Figure 4]



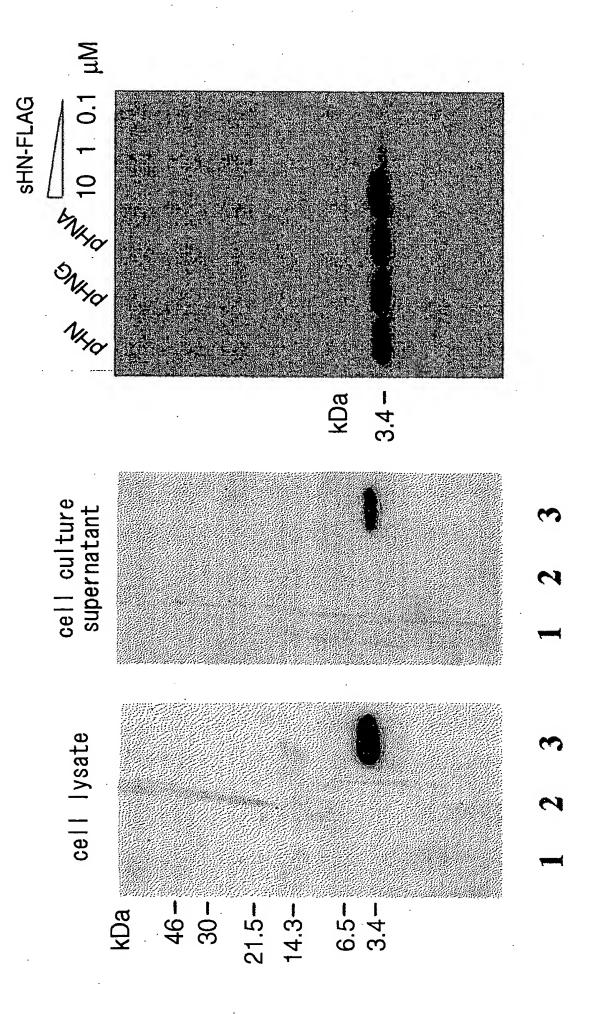
[Figure 5]



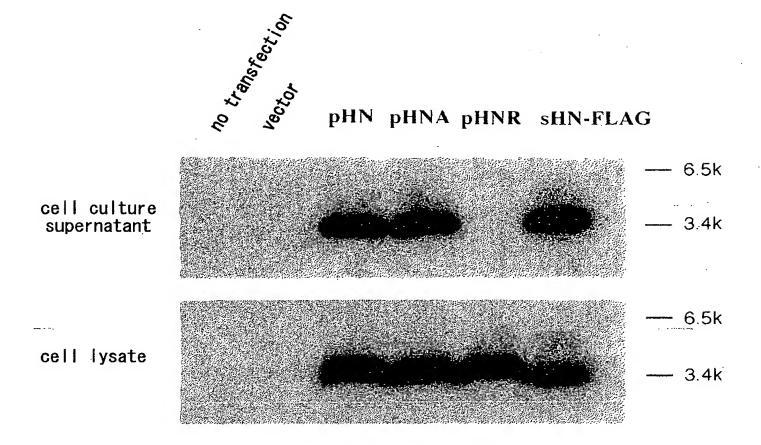
[Figure 6]

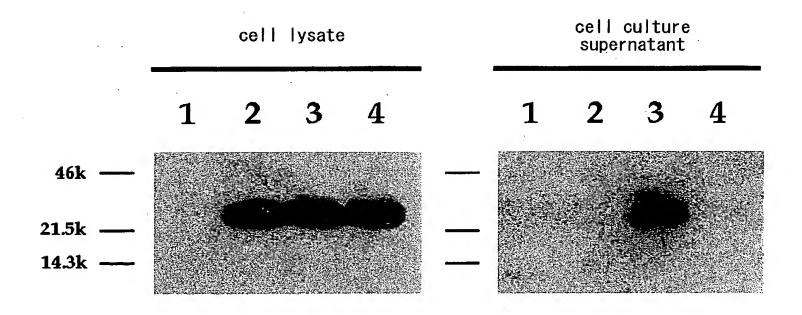


[Figure 7]

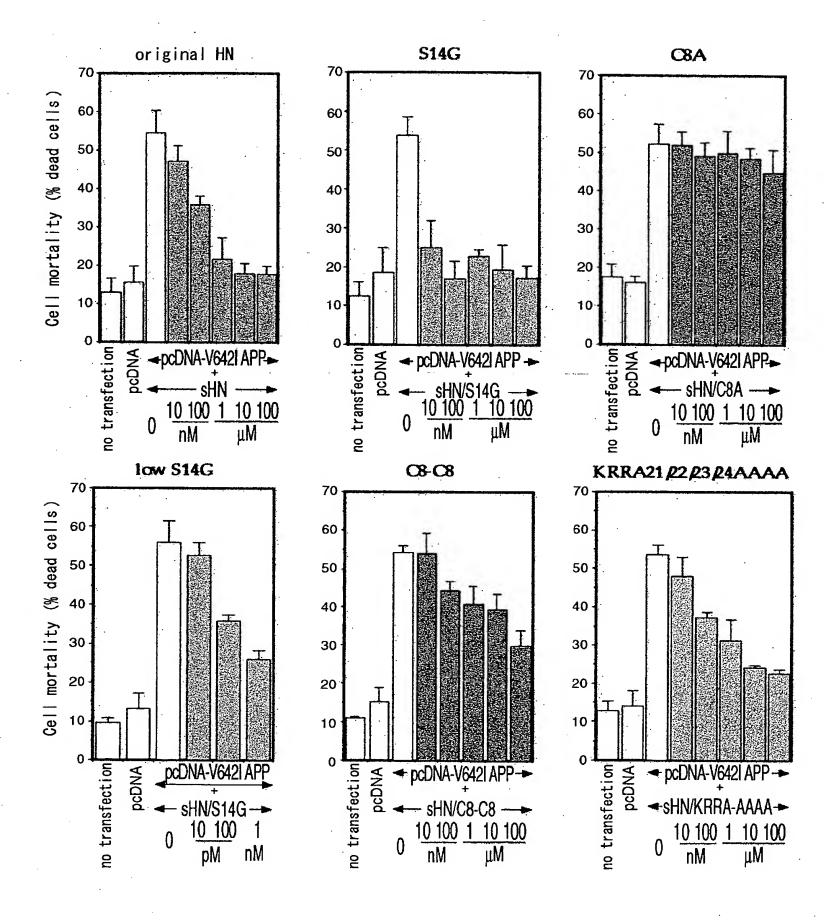


[Figure 8]

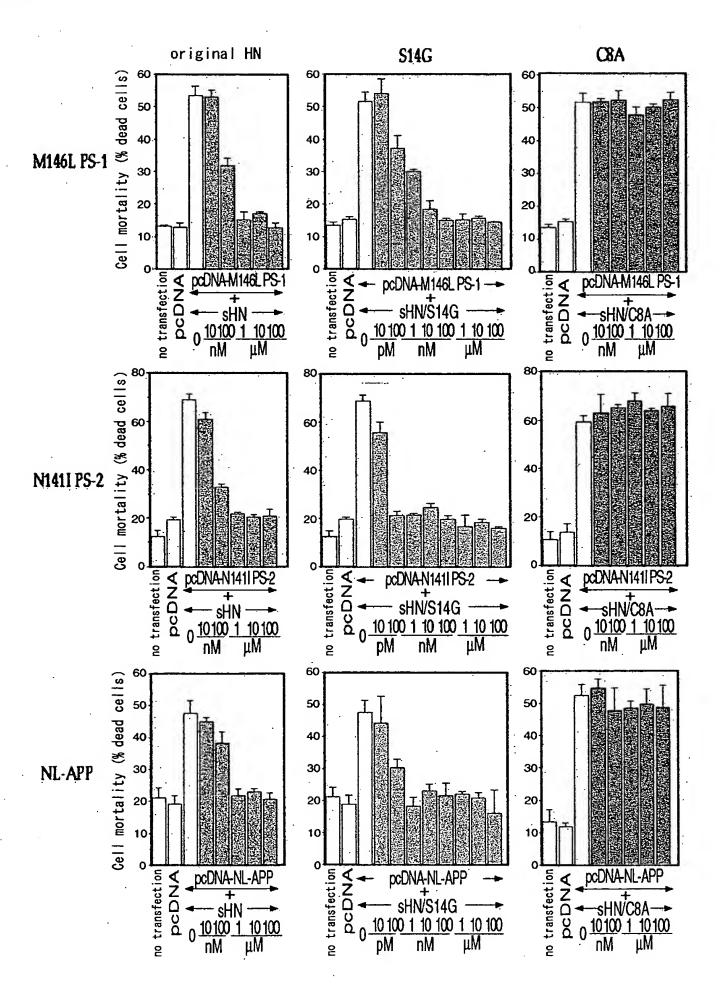




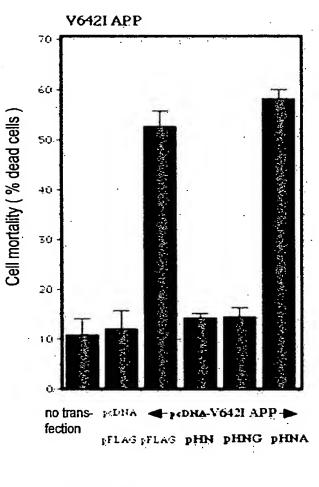
[Figure 9]

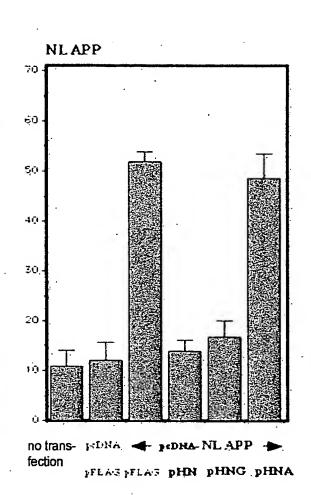


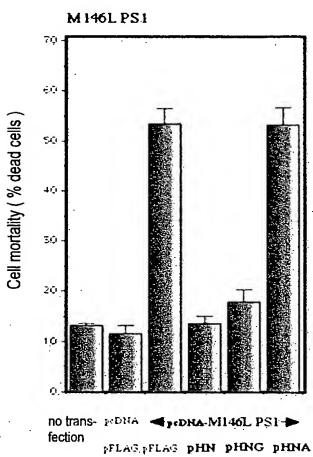
[Figure 10]

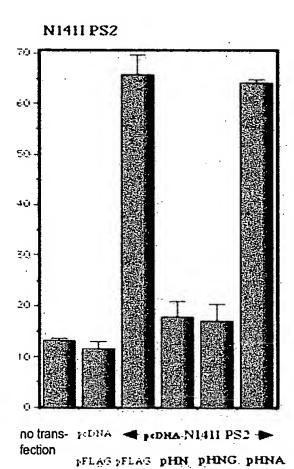


[Figure 11]

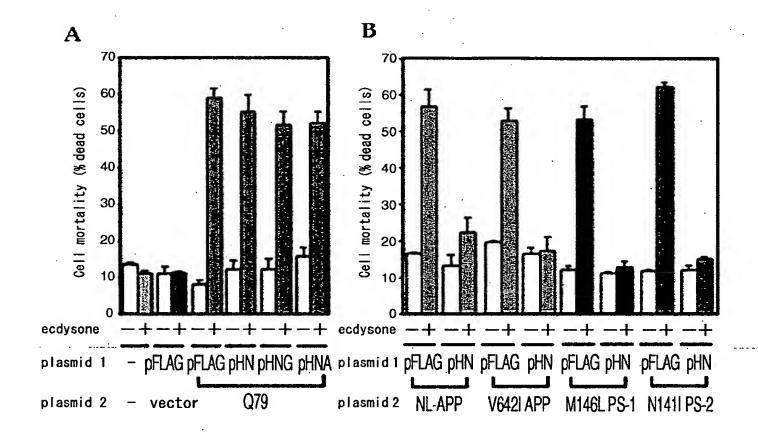


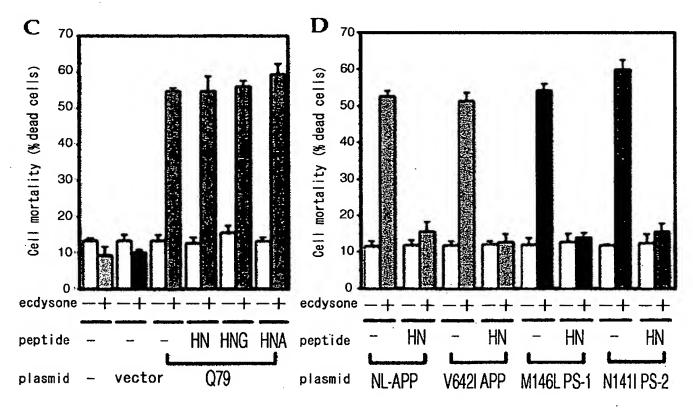




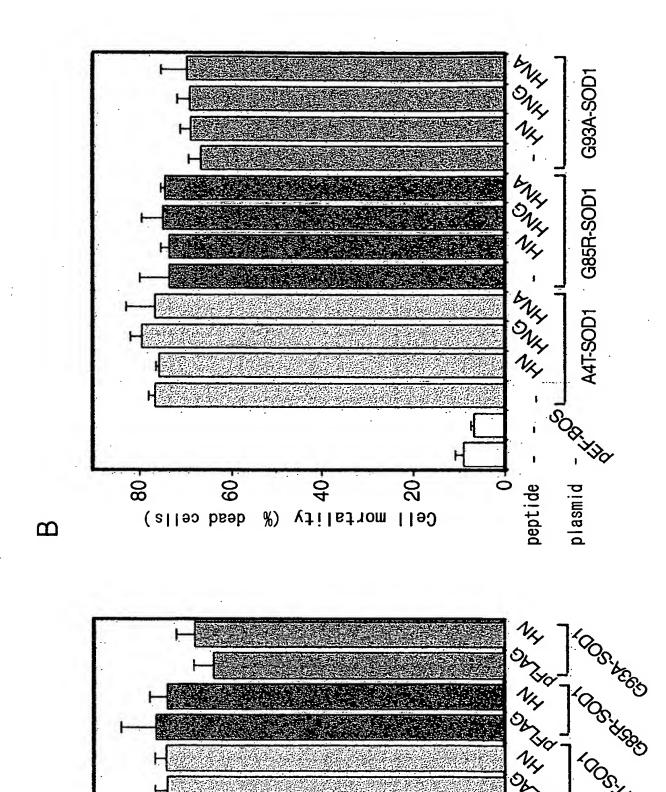


[Figure 12]





[Figure 13]

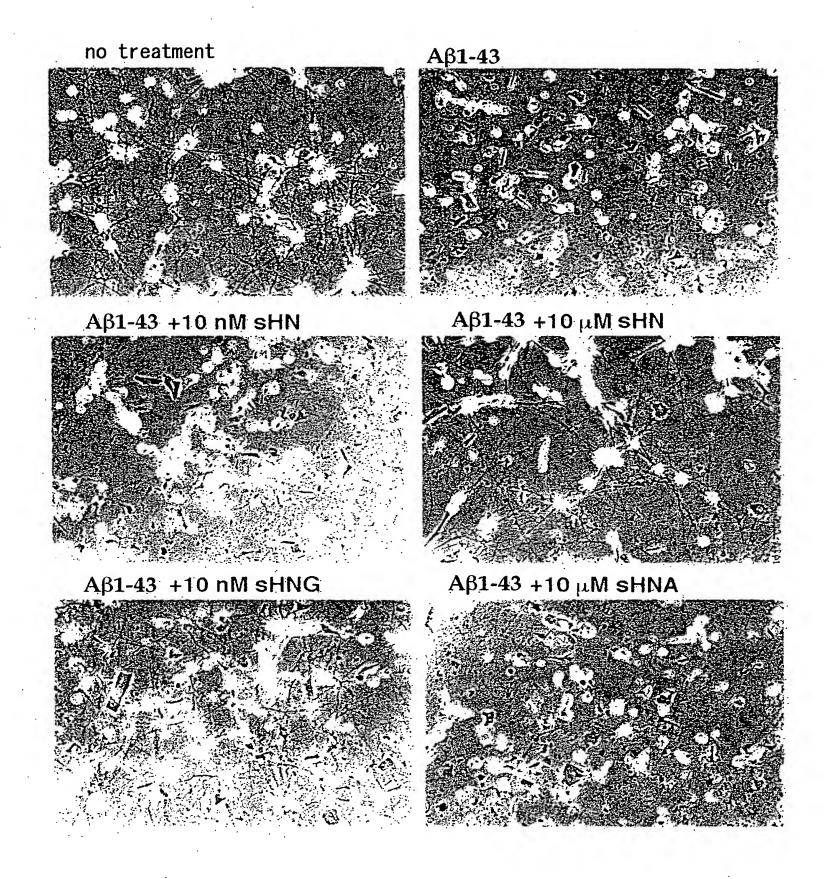


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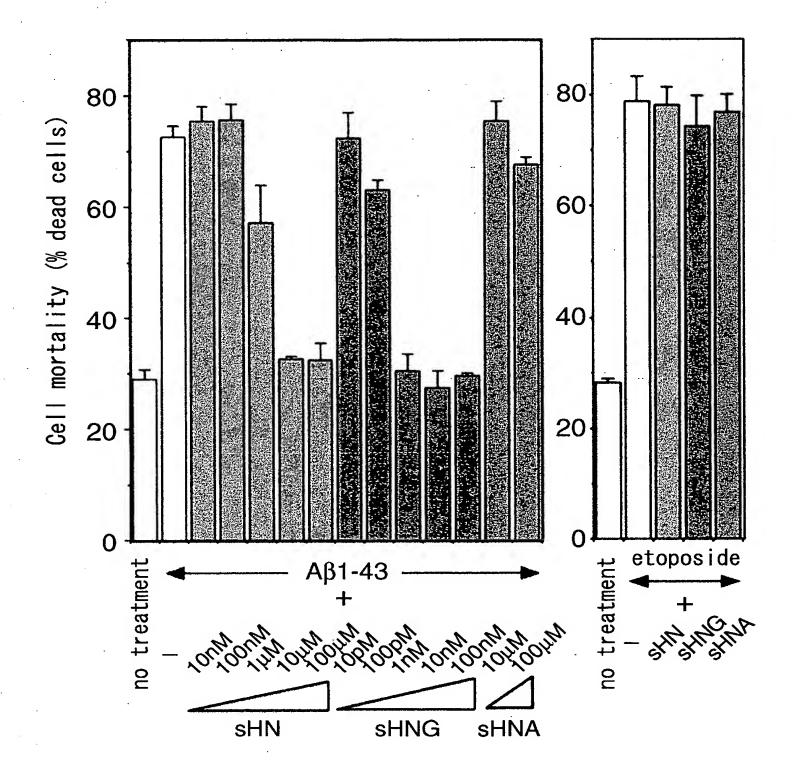
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Cell mortality (% dead cells)

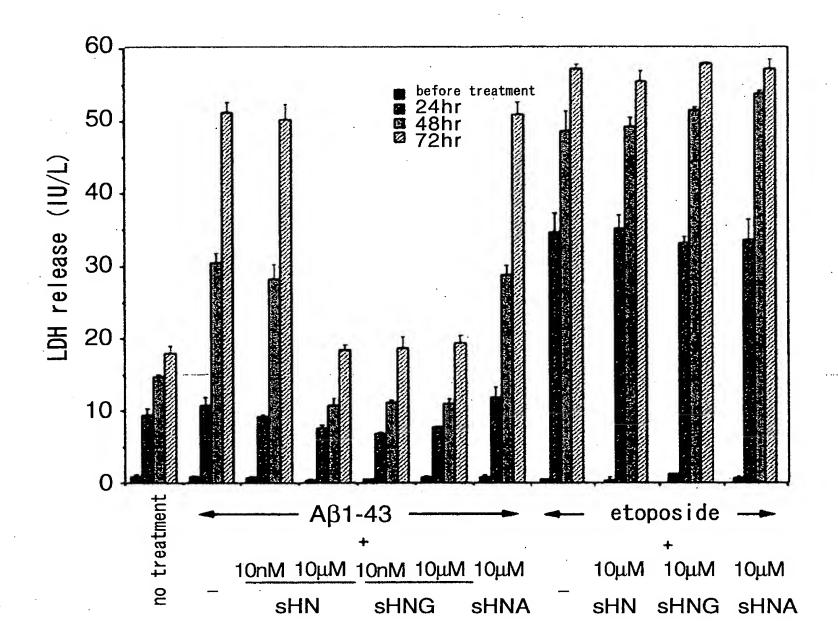
[Figure 14] ·



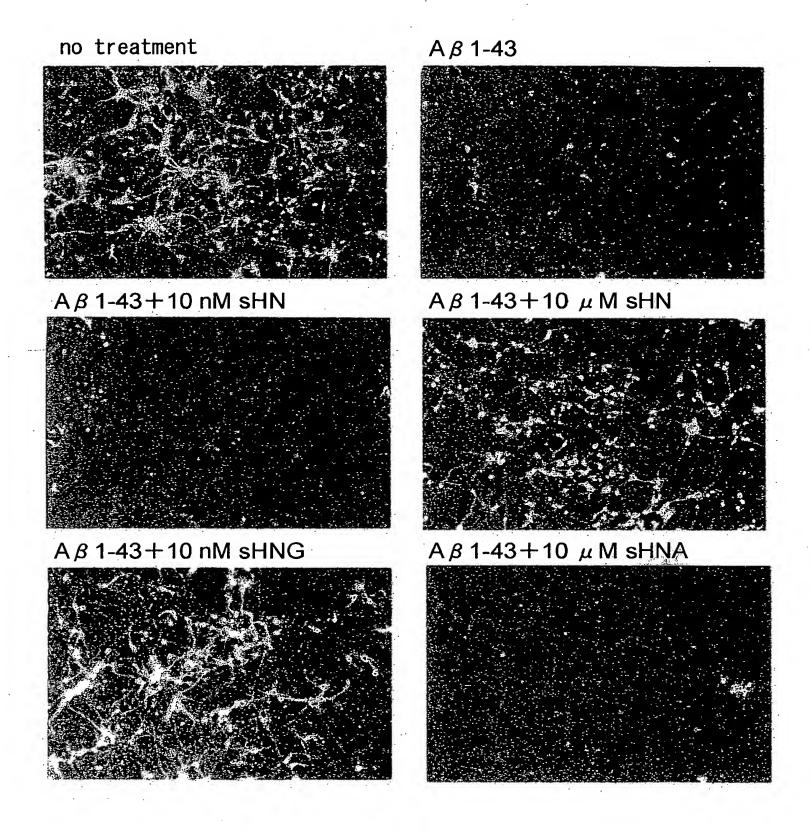
[Figure 15]



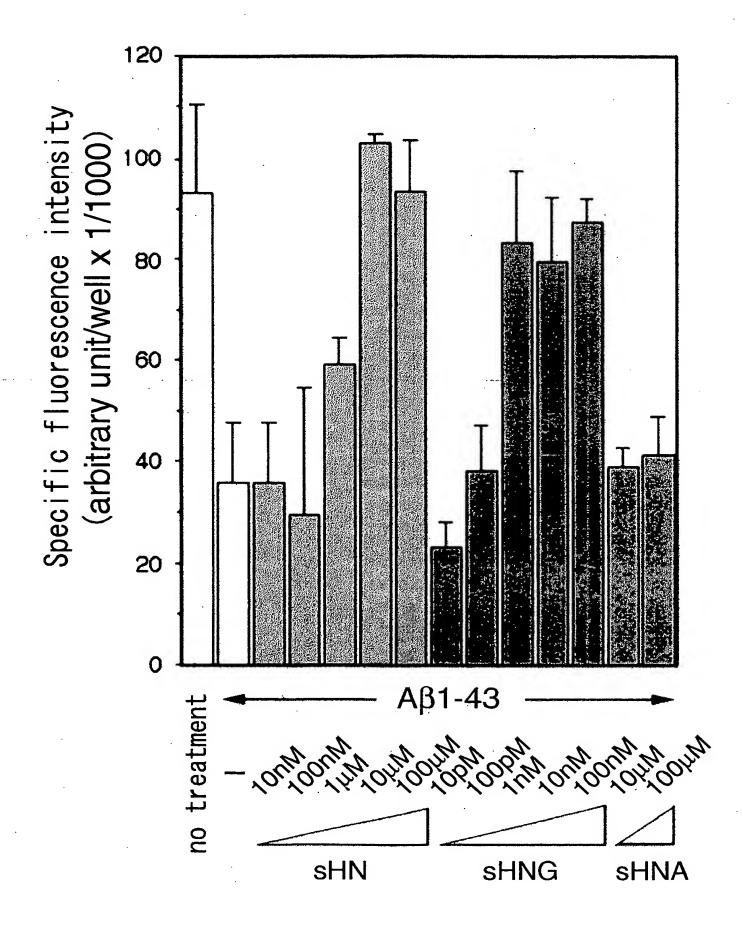
[Figure 16]



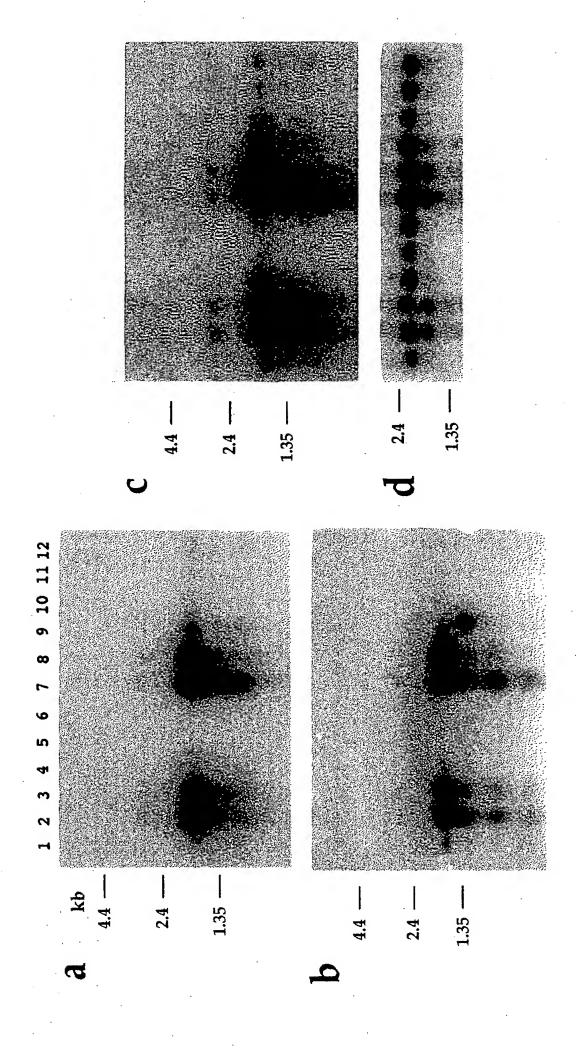
[Figure 17]



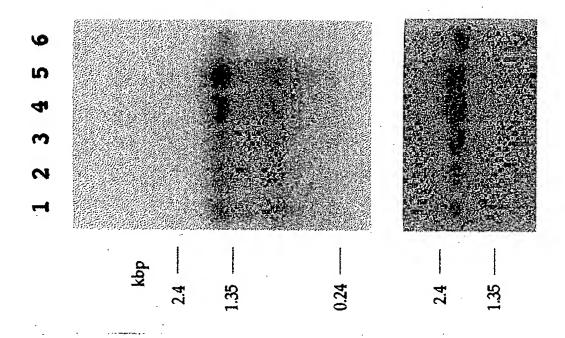
[Figure 18]

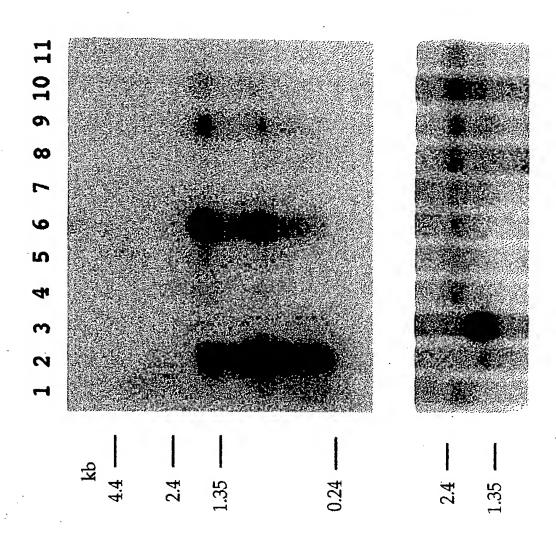


[Figure 19]

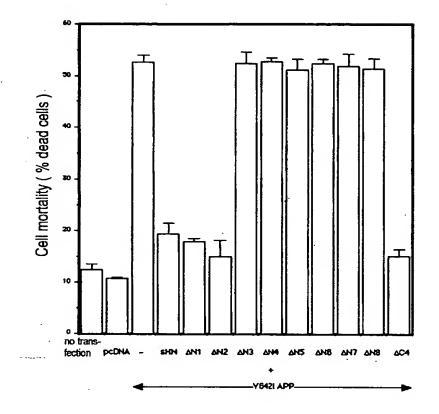


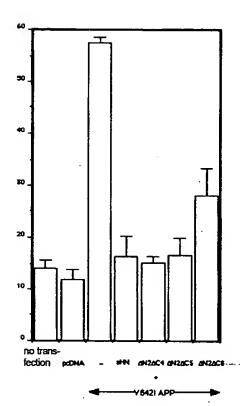
[Figure 20]





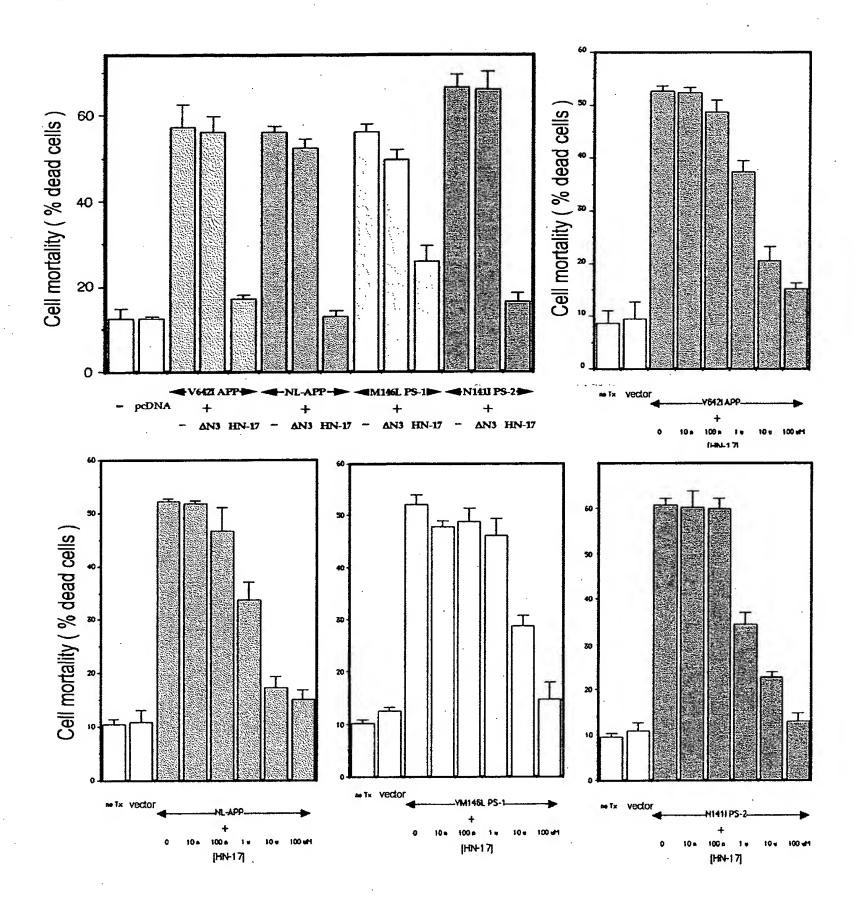
[Figure 21]



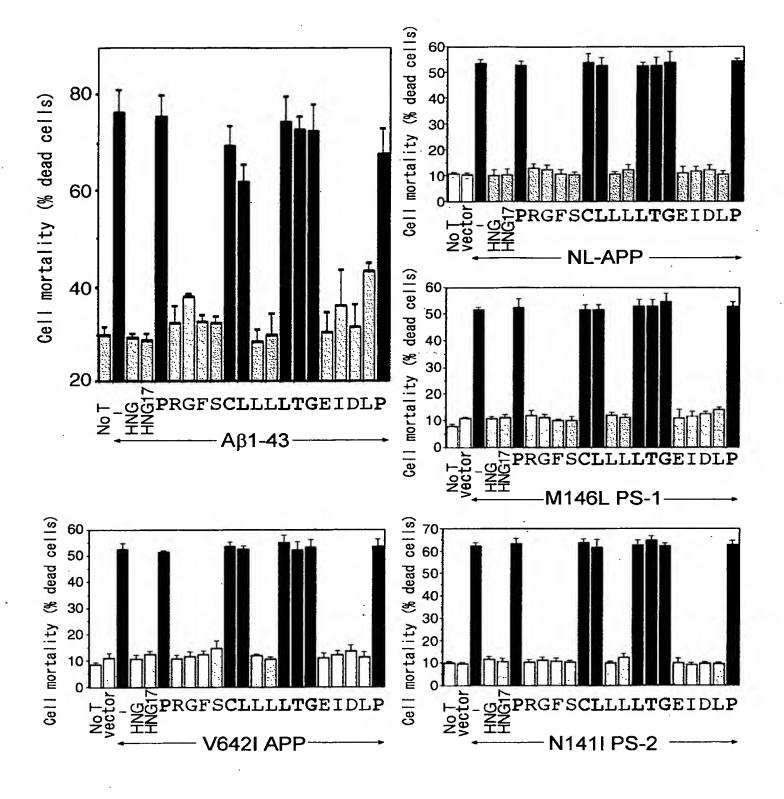


		rescue activity	SEQ ID NO:
Humanin:	MAPRGFSCLLLLTSEIDLPVKRRA	++	5
۵N <sub>1</sub> -Humanin:	APRGFSCLLLLTSEIDLPVKRRA	++	12
۵N <sub>2</sub> -Humanin:	PRGFSCLLLLTSEIDLPVKRRA	++	13
۵N <sub>3</sub> -Humanin:	RGFSCLLLLTSEIDLPVKRRA	-	14
۵N <sub>4</sub> -Humanin:	GFSCLLLLTSEIDLPVKRRA	-	15
۵N <sub>5</sub> -Humanin:	FSCLLLLTSEIDLPVKRRA	-	16
۵N <sub>6</sub> -Humanin:	SCLLLLTSEIDLPVKRRA	-	17
۵N <sub>7</sub> -Humanin:	CLLLLTSEIDLPVKRRA	-	18
۵N <sub>8</sub> -Humanin:	LLLLTSEIDLPVKRRA	-	19
۵N <sub>2</sub> ه C <sub>6</sub> -Humanin:	PRGFSCLLLLTSEIDL	+	20
۵N <sub>2</sub> ۵C <sub>5</sub> -Humanin:	PRGFSCLLLLTSEIDLP	++	21
ΔN <sub>2</sub> ΔC <sub>4</sub> -Humanin:	PRGFSCLLLLTSEIDLPV	++	22
۵N <sub>2</sub> -Humanin:	PRGFSCLLLLTSEIDLPVKRRA	++	13
ΔC <sub>4</sub> -Humanin:	MAPRGFSCLLLLTSEIDLPV	++	23

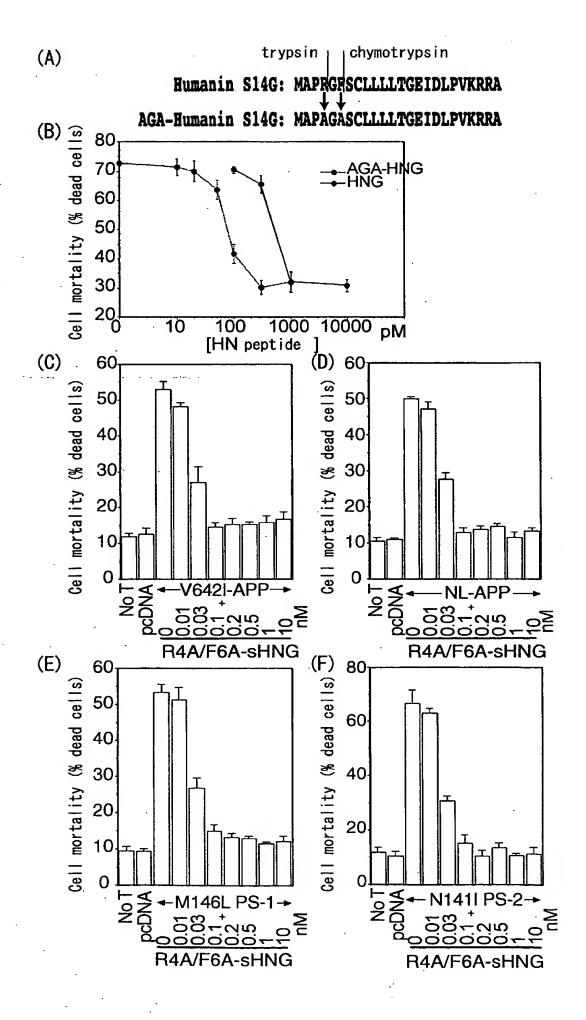
[Figure 22]



[Figure 23]



[Figure 24]



[Document Name] Abstract [Abstract]

[Problems to Be Solved] The present invention provides polypeptides that suppresse neuronal death associated with Alzheimer's disease. [Means to Solve the Problems] Using a neuronal cell system, wherein the expression of familial Alzheimer's disease mutant APP can be induced by ecdysone treatment, a gene that protects the neurons from cell death was successfully isolated. The gene encodes a secretory polypeptide consisting of 24 amino acids, and this polypeptide suppresses neuronal death caused by the expression of APP mutants and presentlin mutants. The polypeptide also suppressed cell death of primary neuronal culture caused by  $A\beta$ . Furthermore, by mutating the amino acids of the polypeptide, the neuronal death suppression activity of the polypwptide was successfully and significantly enhanced. These polypeptides and derivatives thereof are useful as associated pharamaceuticals to prevent neuronal death Alzheimer's disease, and as seed compounds for developing novel pharmaceuticals for Alzheimer's disease.

[Selected Drawings] None

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